

# Demystifying the Chromatographic Process

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# Objectives of Talk

- Chromatography is a physical process
- Much can be described with simple equations
- Understanding the process simplifies  
Method development,  
Troubleshooting,  
Predicting behavior, etc.

# Topics

- **Chromatographic Process**
- **What Affects Resolution,  $R_s$**
- **What Affects Retention,  $t_R$**
- **What Affects Pressure,  $P$**
- **Mis-use, Over-interpretation of Chromatographic Equations**

# Conclusions of Talk

Effect of Increasing	N	$\alpha$	k	tR	P
Flow	Van Deemter	No	No	Decrease	Increase
% B	Slight	Varies	Exp Dec	Exp Dec	Varies
Temperature (T)	Increase	Varies	Decrease	Decrease	Decrease
Particle Size ( $d_p$ )	Decrease	No	No	No	Decrease
Col Length (L)	Increase	No	No	Increase	Increase
Col Diameter(d)	Slight	No	No	No*	No*

\* At constant linear velocity

# Chromatographic Process

- Partition between mobile phase and stationary phase
- Description of the separation:

# Chromatographic Process

- Partition between mobile phase and stationary phase ( $K = C_s/C_m$ )
- Description of the separation:
  - $R_s$  – Resolution
  - $N$  – Column Efficiency, Plates
  - $k, k'$  – Retention Factor, Capacity Factor
  - $\alpha$  – Selectivity

# Topics

- **Chromatographic Process**
- **What Affects Resolution,  $R_s$**
- **What Affects Retention,  $t_R$**
- **What Affects Pressure,  $P$**

# Definition of Resolution

$$R_s = \frac{\Delta t_R}{\bar{w}}$$

**Resolution is a measure of the ability to separate two components**



# Definition of Resolution

$$R_s = \frac{t_{R-2} - t_{R-1}}{(w_2 + w_1)/2} = \frac{\Delta t_R}{\bar{w}}$$

Resolution is a measure of the ability to separate two components

# Resolution ...

Determined by 3 Key Parameters –  
Efficiency, Selectivity and Retention

*The Fundamental Resolution Equation*

$$R_s = \frac{\sqrt{N}}{4} \frac{(\alpha-1)}{\alpha} \frac{k}{(k+1)} = \frac{\Delta t_R}{\bar{W}}$$

**N = Column Efficiency** – Column length and particle size

**$\alpha$  = Selectivity** – Mobile phase and stationary phase

**k = Retention Factor** – Mobile phase strength

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cf, Foley, *Analyst*, **116**, 1275 (1991)

# Resolution ...

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Efficiency, Selectivity and Retention

*The Fundamental Resolution Equation*

$$R_s = \frac{\sqrt{N}}{4} \frac{(\alpha-1)}{1} \frac{k_1}{(\bar{k}+1)} = \frac{\Delta t_R}{\bar{W}}$$

**N = Column Efficiency** – Column length and particle size

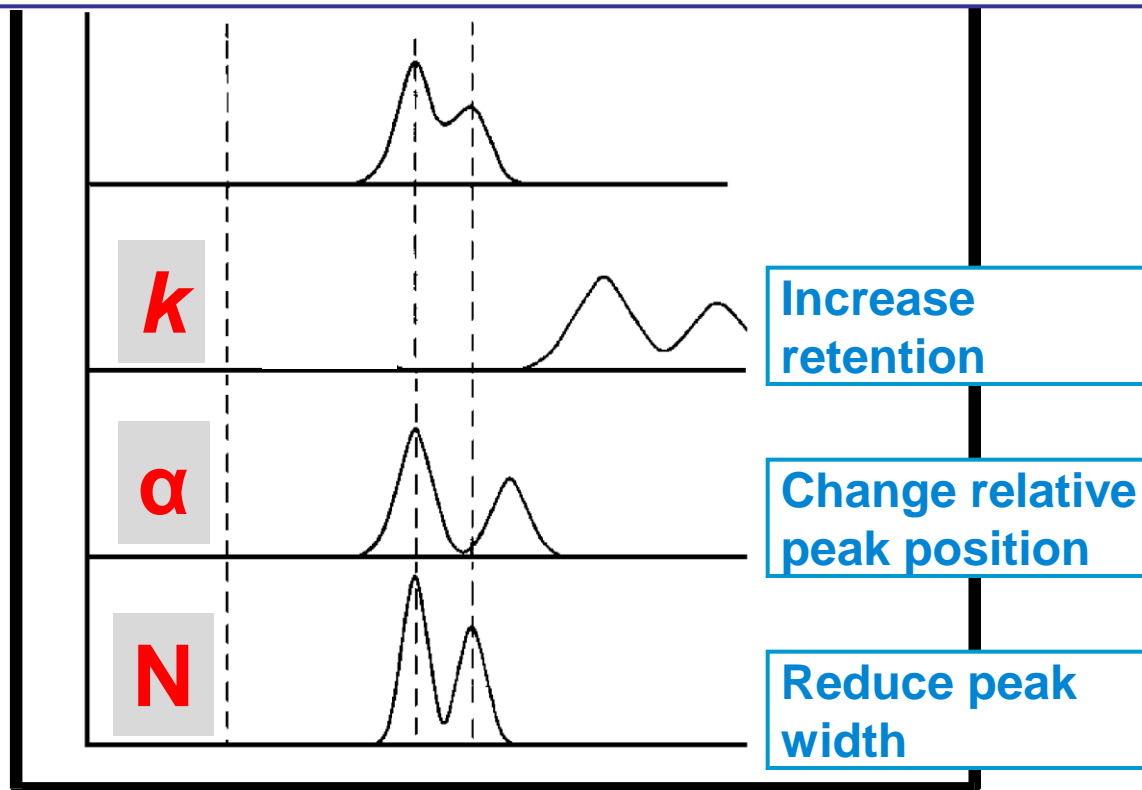
**$\alpha$  = Selectivity** – Mobile phase and stationary phase

**k = Retention Factor** – Mobile phase strength

cf, Foley, *Analyst*, **116**, 1275 (1991)

# Factors that Improve Resolution

$$R_s = \frac{\sqrt{N}}{4} \frac{(\alpha-1)}{\alpha} \frac{k}{(k+1)} = \frac{\Delta t_R}{\bar{W}}$$



# Chromatographic Profile

## Equations Describing Factors Controlling $R_s$

### Retention Factor

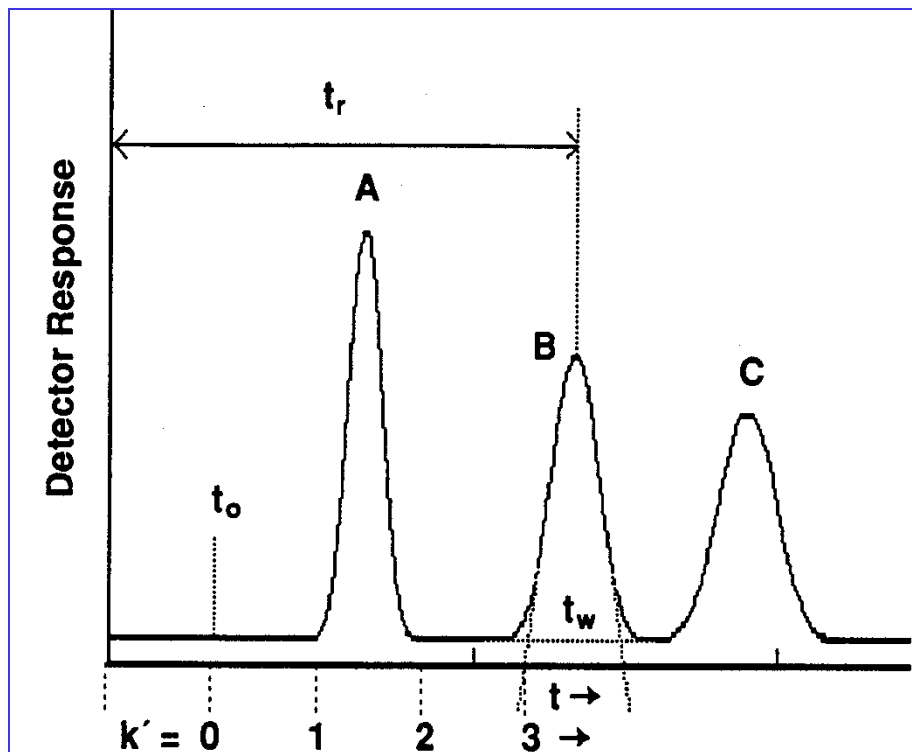
$$k = \frac{(t_R - t_0)}{t_0}$$

### Selectivity

$$\alpha = k_2 / k_1$$

### Theoretical Plates-Efficiency

$$N = 16(t_R / t_W)^2$$



# Some Basic Chromatography Parameters

- Resolution ( $R_s$ )
- Retention Factor ( $k$ ), Capacity Factor ( $k'$ )
- Selectivity or Separation Factor ( $\alpha$ )
- Column Efficiency as Theoretical Plates ( $N$ )

# Retention Factor ( $k$ ), Capacity Factor ( $k'$ )

Chromatographic separation is an Equilibrium Process

Sample partitions between Stationary Phase and Mobile Phase:

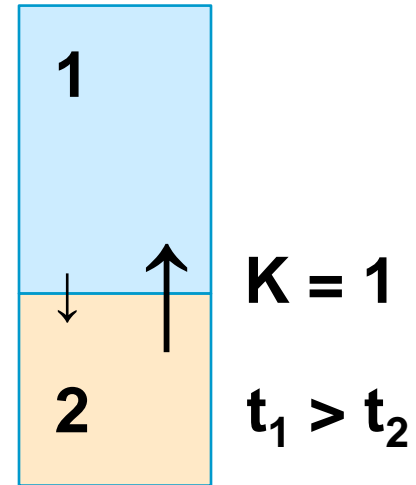
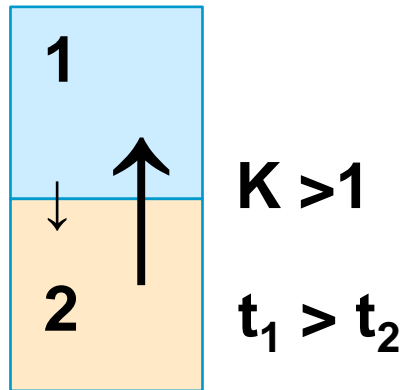
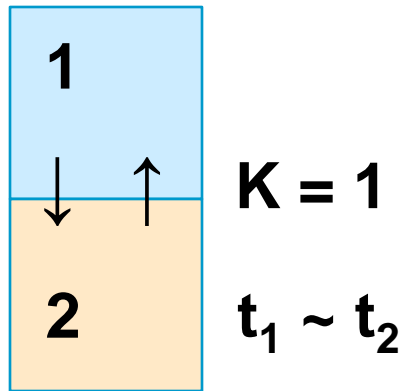
$$K = C_s / C_m$$

Compound moves through the column only while in mobile phase.

Separation occurs in Column Volumes.  
(Flow is volume/time – mL/min)



# Retention Factor ( $k$ ), Capacity Factor ( $k'$ )



$$K = C_1 / C_2$$

$$K \propto t_1 / t_2$$

# Retention Factor ( $k$ ), Capacity Factor ( $k'$ )

$$K = C_s/C_m \Rightarrow \Rightarrow k = \frac{t_s}{t_M} \quad k = \frac{t_R - t_0}{t_0}$$

$k$  is measure of number of column volumes required to elute compound (proportion of time in stationary phase).

Fundamental, dimensionless parameter that describes the retention (independent of flow rate, column length).

**$k = \underline{1 \text{ to } 20}$  - OK;  $k = \underline{3 \text{ to } 10}$  - Better;  $k = \underline{5 \text{ to } 7}$  - Ideal**

# Retention Factor ( $k$ ), Capacity Factor ( $k'$ )

$$K = C_s/C_m \Rightarrow \Rightarrow k = \frac{t_s}{t_M} \quad t_R = t_M + t_s$$

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$$K = C_s/C_m \Rightarrow \Rightarrow k = \frac{t_s}{t_M} \quad t_R - t_M = t_s$$

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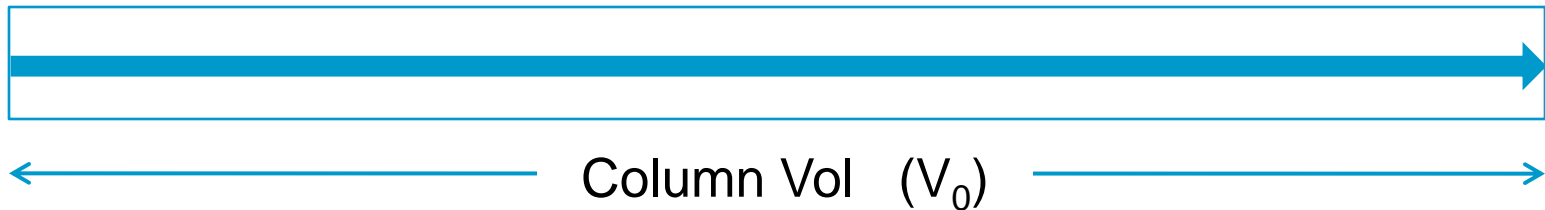
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# Retention Factor ( $k$ ), Capacity Factor ( $k'$ )

$$k = \frac{(V_R - V_0)}{V_0} = \frac{(t_R - t_0)}{t_0}$$

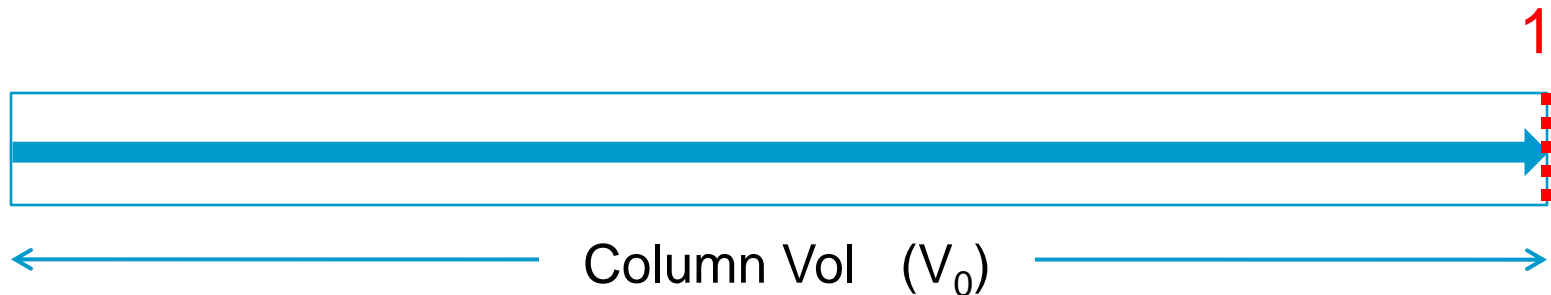
Measure of number of column volumes required to elute compound – fraction of time spent in SP ( $C_s$ )



# Retention Factor ( $k$ ), Capacity Factor ( $k'$ )

Un-retained component – elutes w/ solvent front,  $C_s = 0$

$$\underline{C_s = 0} \Rightarrow \underline{k = 0}$$

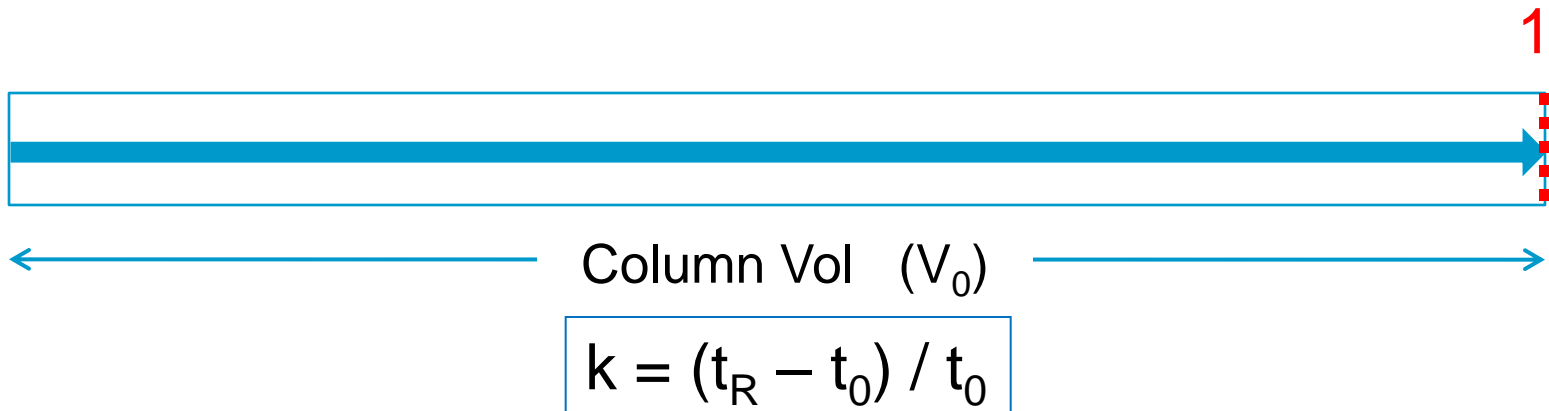




# Retention Factor ( $k$ ), Capacity Factor ( $k'$ )

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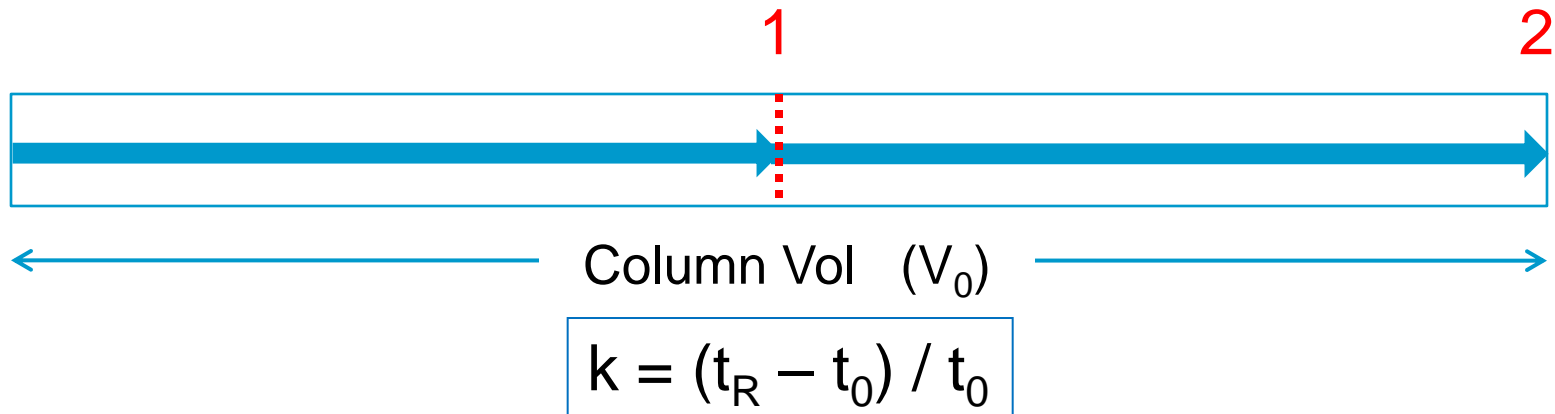
$$\underline{k = (1 - 1) / 1 = 0}$$



# Retention Factor ( $k$ ), Capacity Factor ( $k'$ )

Component retained – elutes in 1 add'l column volumes

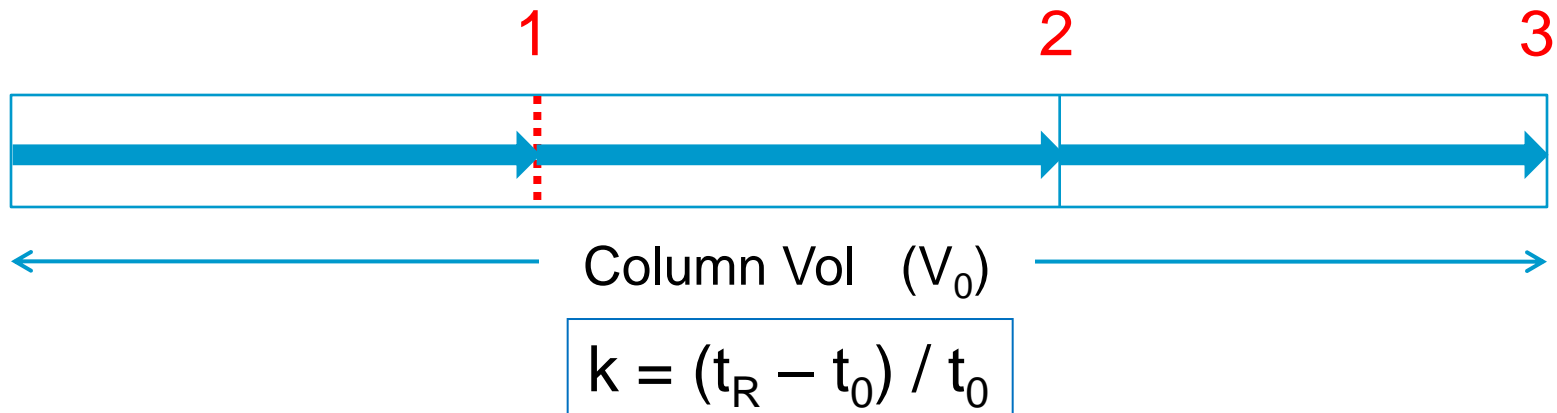
$$\underline{k = (2 - 1) / 1 = 1}$$



# Retention Factor ( $k$ ), Capacity Factor ( $k'$ )

Component retained – elutes in 2 add'l column volumes

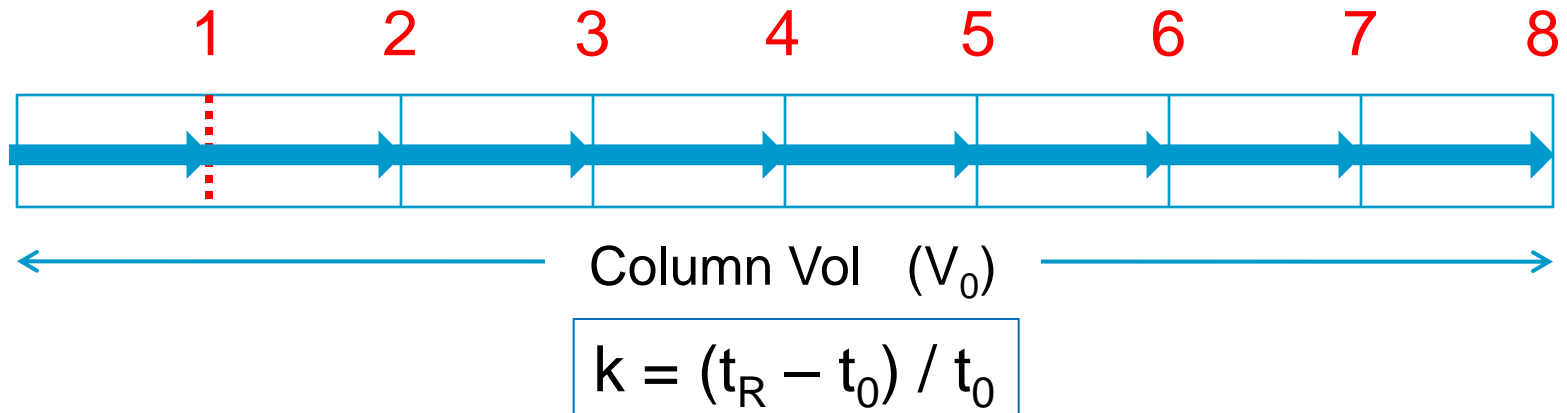
$$\mathbf{k = (3 - 1) / 1 = 2}$$



# Retention Factor ( $k$ ), Capacity Factor ( $k'$ )

Component retained – elutes in 7 add'l column volumes

$$\mathbf{k = (8 - 1) / 1 = 7}$$

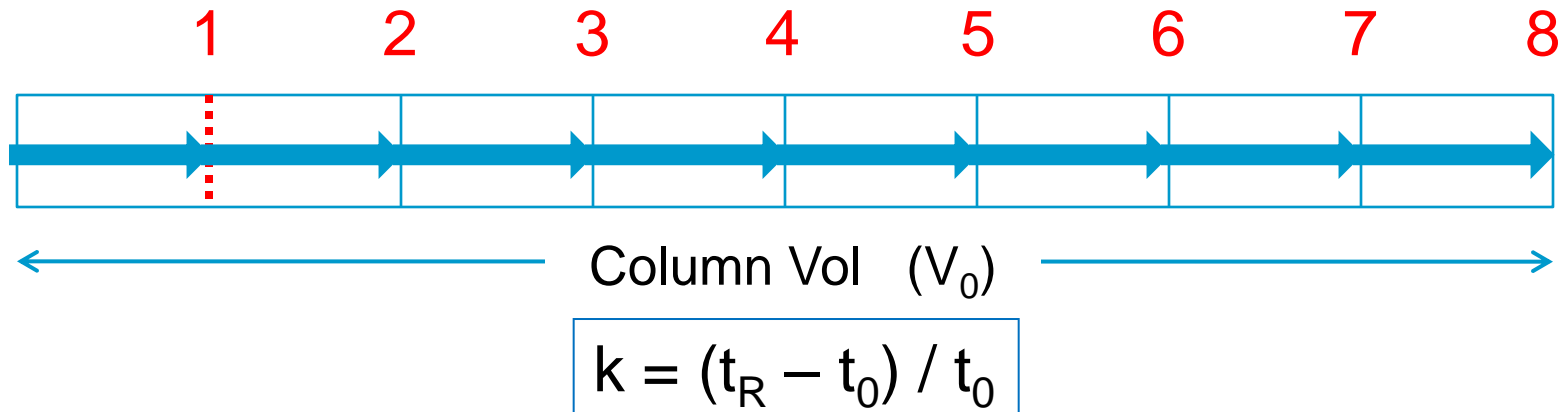


# Retention Factor ( $k$ ), Capacity Factor ( $k'$ )

Component retained – elutes in 7 add'l column volumes

$$\underline{k = (8 - 1) / 1 = 7}$$

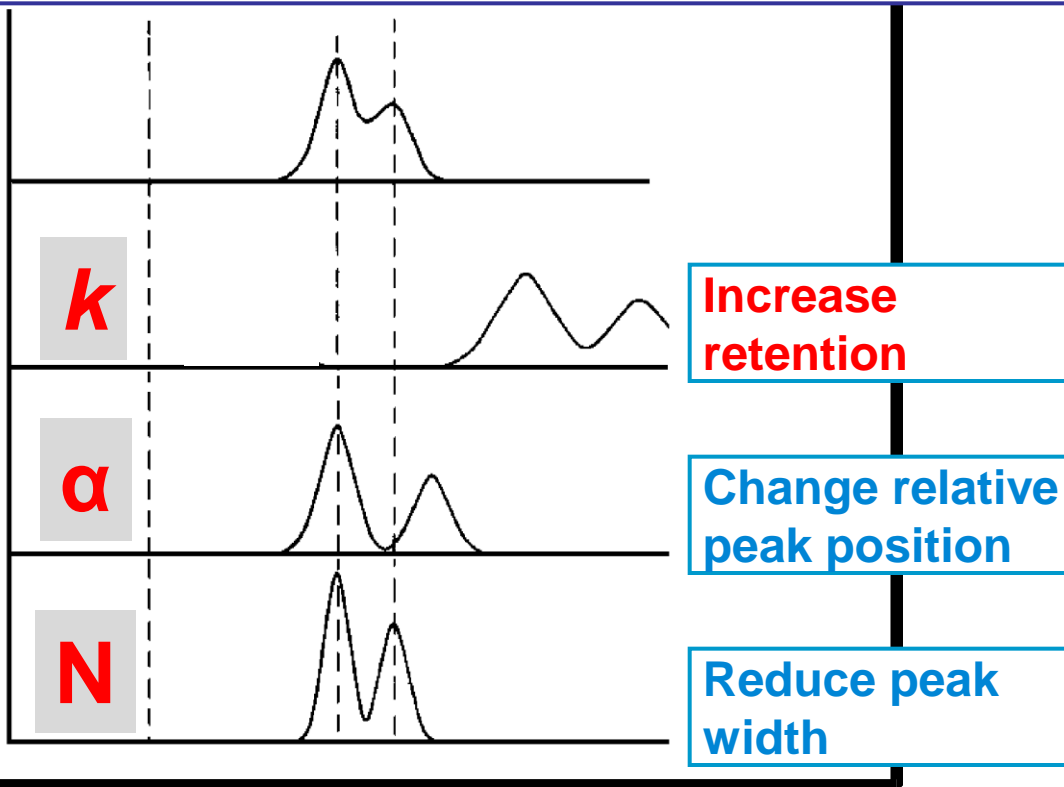
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# Factors that Improve Resolution

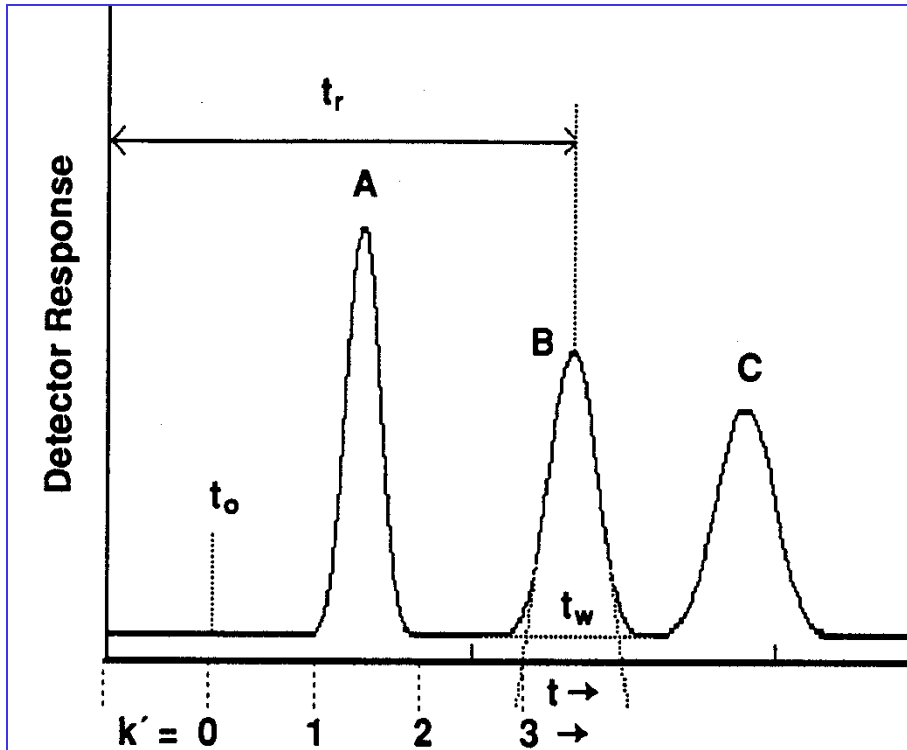
$$R_s = \frac{\sqrt{N}}{4} \frac{(\alpha-1)}{\alpha} \frac{k}{(k+1)} = \frac{\Delta t_R}{\bar{W}}$$

$$k = \frac{(t_R - t_0)}{t_0}$$



# Chromatographic Profile

## Equations Describing Factors Controlling $R_s$



### Retention Factor

$$k = \frac{(t_R - t_0)}{t_0}$$

### Selectivity

$$\alpha = k_2 / k_1$$

### Theoretical Plates-Efficiency

$$N = 16(t_R / t_w)^2$$

# Some Basic Chromatography Parameters

- Resolution ( $R_s$ )
- Retention Factor ( $k$ ), Capacity Factor ( $k'$ )
- **Selectivity or Separation Factor ( $\alpha$ )**
- Column Efficiency as Theoretical Plates ( $N$ )



# Selectivity ( $\alpha$ )

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$\alpha$  is measure relative difference in retention

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$$k_1 = t_{s1}/t_m$$

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$$k_1 = t_{s1}/t_m$$

$$k_2 = t_{s2}/t_m$$

$$\alpha = \frac{t_{s2}}{t_{s1}}$$

# Selectivity ( $\alpha$ )

$$\alpha = \frac{k_2}{k_1}$$

$\alpha$  is measure of relative difference in retention

By definition,  $k_2$  is more retained component;  
 $k_1$  is less retained component, so  $\alpha$  is always  $\geq 1$

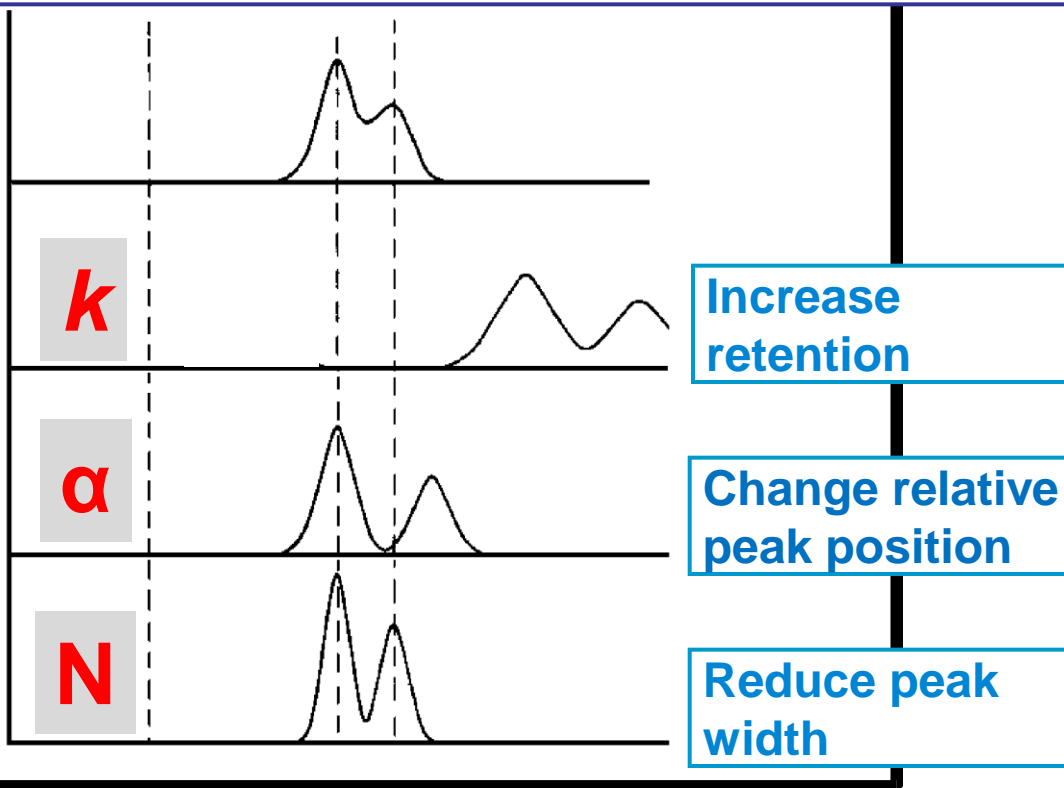
To obtain separation,  $\alpha$  must be  $> 1$

# Factors that Improve Resolution

$$R_s = \frac{\sqrt{N}}{4} \frac{(\alpha-1)}{\alpha} \frac{k}{(k+1)} = \frac{\Delta t_R}{\bar{W}}$$

Selectivity

$$\alpha = k_2/k_1$$



# Chromatographic Profile

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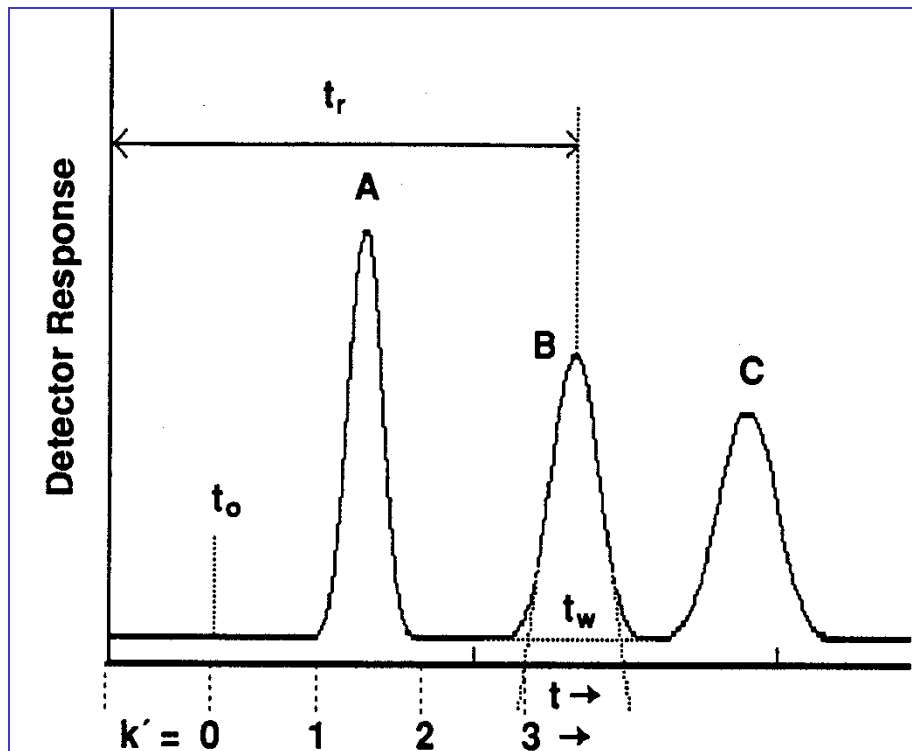
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# Selectivity

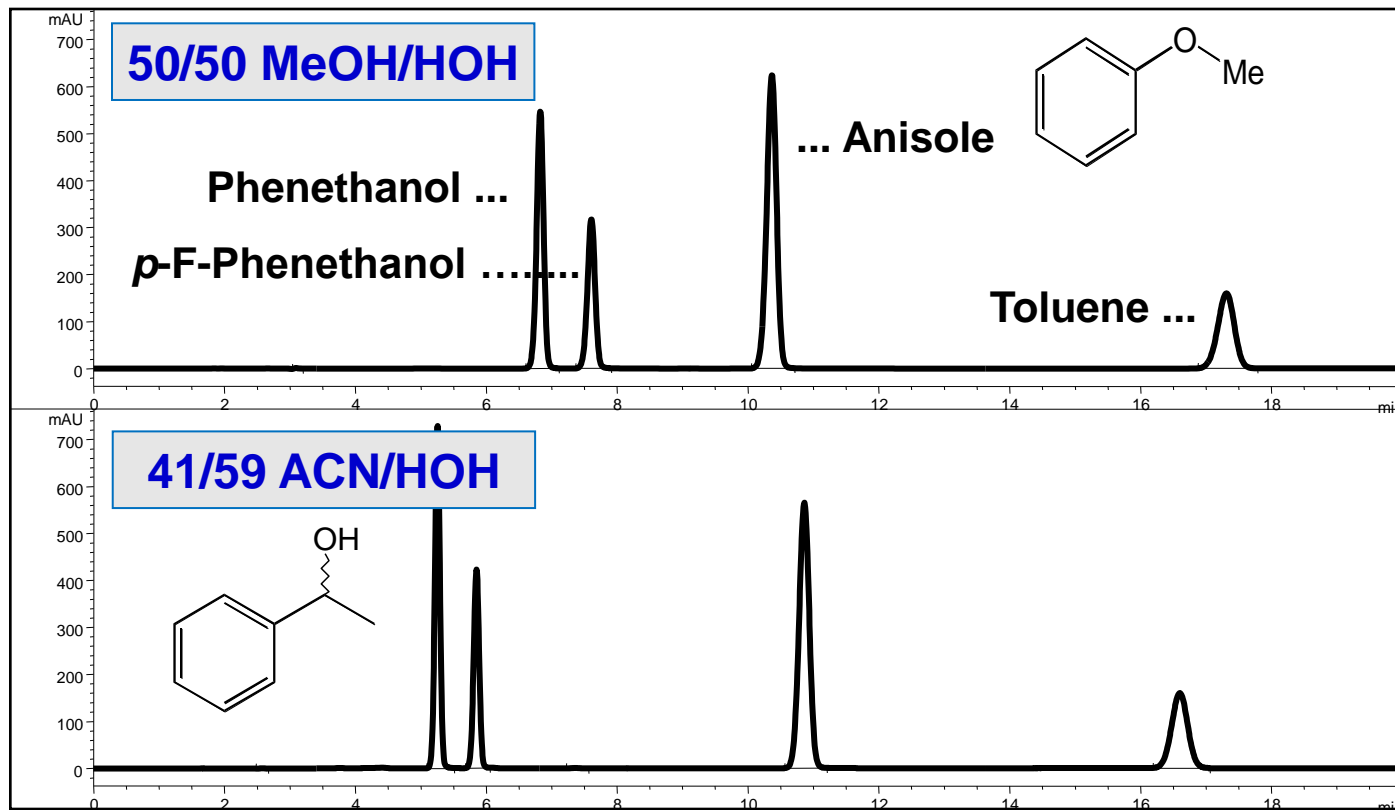
- Mobile Phase
- Stationary Phase

# Selectivity

- **Mobile Phase**
- Stationary Phase



# Different Mobile Phases May Give Different Selectivity



**ZORBAX® SB-C18 4.6 x 250 mm**  
**1 mL/min, 40°C, 225 nm**

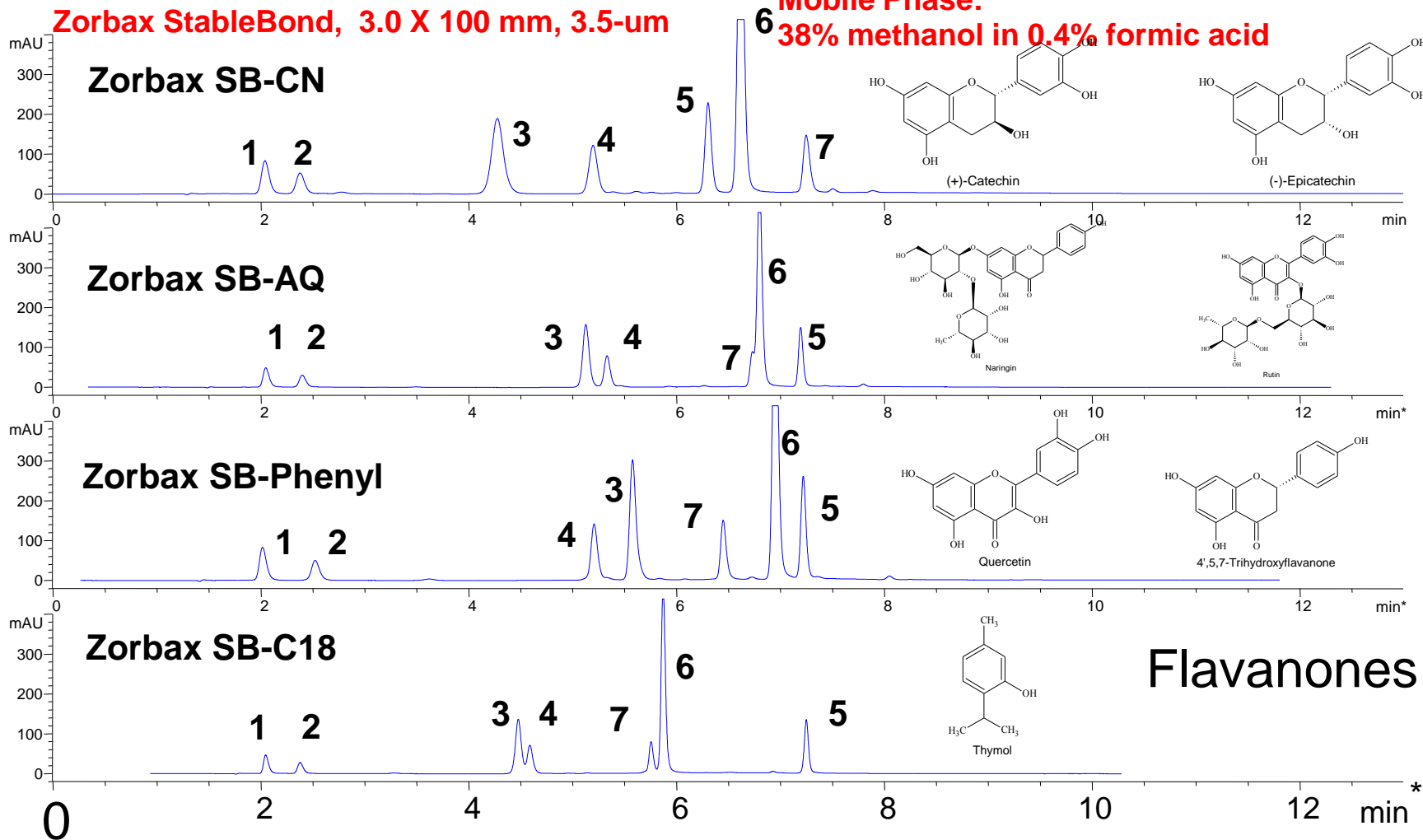
# Selectivity

- Mobile Phase
- **Stationary Phase**

# Different Stationary Phases May Give Significantly Different Selectivity

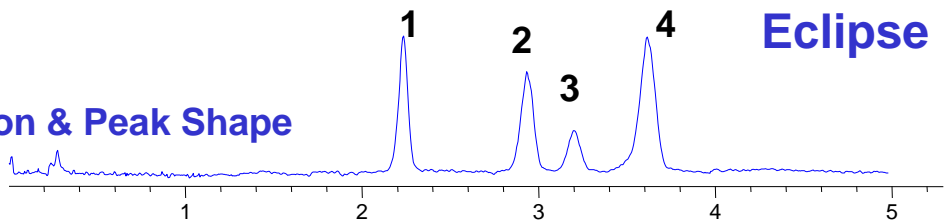
**Columns:**  
Zorbax StableBond, 3.0 X 100 mm, 3.5-um

**Mobile Phase:**  
38% methanol in 0.4% formic acid



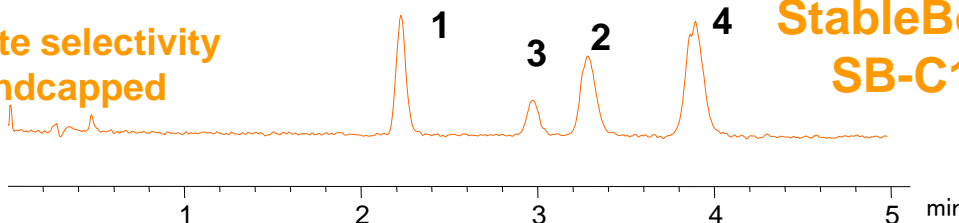
# Similar Stationary Phases May Give Different Selectivity

**1<sup>st</sup> choice**  
**Best Resolution & Peak Shape**



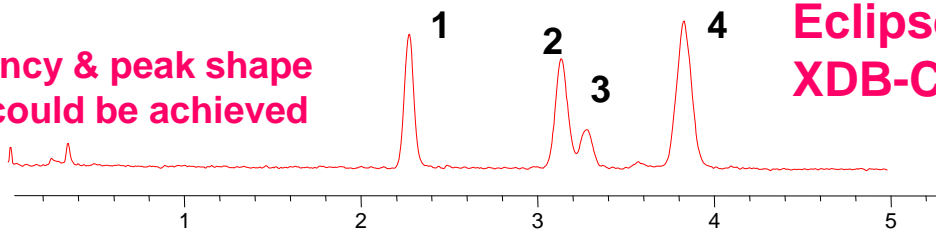
**Eclipse Plus C18**

**2<sup>nd</sup> choice**  
**Good alternate selectivity due to non-encapped**



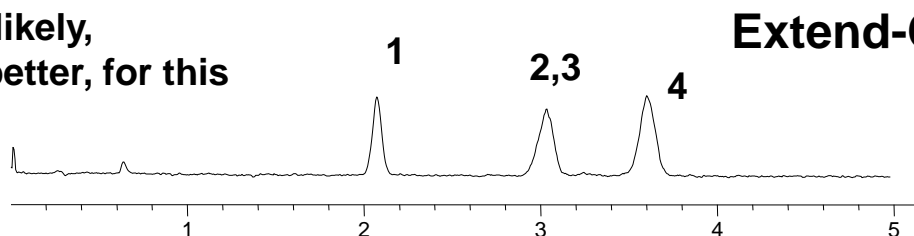
**StableBond SB-C18**

**3<sup>rd</sup> choice**  
**Good efficiency & peak shape**  
**Resolution could be achieved**



**Eclipse XDB-C18**

**4<sup>th</sup> choice**  
**Resolution not likely,**  
**Other choices better, for this separation.**



**Extend-C18**

Mobile phase: (69:31) ACN: water  
Flow 1.5 mL/min.  
Temp: 30 °C  
Detector: Single Quad ESI  
positive mode scan  
Columns: RRHT  
4.6 x 50 mm 1.8 um

Sample:

1. anandamide (AEA)
2. Palmitoylethanolamide (PEA)
3. 2-arachinoylglycerol (2-AG)
4. Oleoylethanolamide (OEA)

Multiple bonded phases for most effective method development. Match to one you're currently using.

# Selectivity

- “Chromatography is an Experimental Science”
- $\alpha$  not as predictable as  $k$ ,  $N$  – but more powerful

# Some Basic Chromatography Parameters

- Resolution ( $R_s$ )
- Retention Factor ( $k$ ), Capacity Factor ( $k'$ )
- Selectivity or Separation Factor ( $\alpha$ )
- **Column Efficiency as Theoretical Plates ( $N$ )**

# Column Efficiency (N)

N - Number of theoretical plates.

“Plates” is a term inherited from distillation theory. It is a measure of the relative peak broadening (or peak width) for an analyte in a separation – **w**

$$N = 16 \left[ \frac{t_R}{w} \right]^2$$



A Number of Theoretical Plates


# Column Efficiency (N)

N - Number of theoretical plates.

We can increase N by increasing the length of the column or decreasing the size of the stationary phase particles.

(1.8  $\mu\text{m}$  > 2.7  $\mu\text{m}$  > 3.5  $\mu\text{m}$  > 5  $\mu\text{m}$  > 10  $\mu\text{m}$ )

$$N = 16 \left[ \frac{t_R}{W} \right]^2 = f(L, 1/d_p)$$



L = column length  
 $d_p$  = particle size



# Column Efficiency (N)

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$$N \sim \frac{L}{d_p}$$

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(1.8  $\mu\text{m}$  > 2.7  $\mu\text{m}$  > 3.5  $\mu\text{m}$  > 5  $\mu\text{m}$  > 10  $\mu\text{m}$ )

$$N \approx 5,000 \times \frac{L}{d_p}$$

L = column length (mm)

$d_p$  = particle size ( $\mu\text{m}$ )

cf: Snyder, Kirkland, Dolan, *Introduction to Modern Liquid Chromatography*, 3<sup>rd</sup> Ed, Wiley (2010), p244

# Test Chromatogram

## LC Column Performance Report

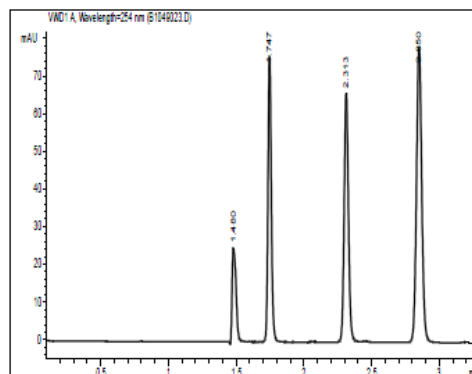
### SERIAL NUMBER:

PART NUMBER: 959963-902  
COLUMN TYPE: ZORBAX Eclipse Plus C18 4.6 x 150 mm, 3.5  $\mu$ m  
PACKING LOT #:

**TEST CONDITIONS**  
MOBILE PHASE = 85% Methanol / 15% Water  
COLUMN PRESSURE = 126.4 Bar  
COLUMN FLOW = 1.00 ml / min  
LINEAR VELOCITY = 0.168 cm / sec  
TEMPERATURE = AMBIENT (Nominally 23 °C)  
INJECTION VOLUME = 5  $\mu$ l

### QUALITY CONTROL PERFORMANCE RESULTS FOR TOLUENE

TEST VALUES	SPECIFICATIONS
THEORETICAL PLATES = 25116	MIN = 18000
SELECTIVITY = 1.65	RANGE = 1.61 - 1.71
USP TAILING FACTOR = 1.07 (@ 5% Peak Height)	RANGE = 0.98 - 1.20
$k'$ = 0.93	



Sample components with concentrations diluted in mobile phase in the following elution order.

Peak #	Conc (ug/ml)	Sample Component
1	5	Uracil
2	200	Phenol
3	25	4-Chloro Nitrobenzene
4	850	Toluene

# Column Efficiency (N)

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(1.8  $\mu\text{m}$  > 2.7  $\mu\text{m}$  > 3.5  $\mu\text{m}$  > 5  $\mu\text{m}$  > 10  $\mu\text{m}$ )

$$N \sim \frac{L}{d_p}$$

L = column length  
 $d_p$  = particle size

# Column Efficiency (N) – Effect of Particle Size

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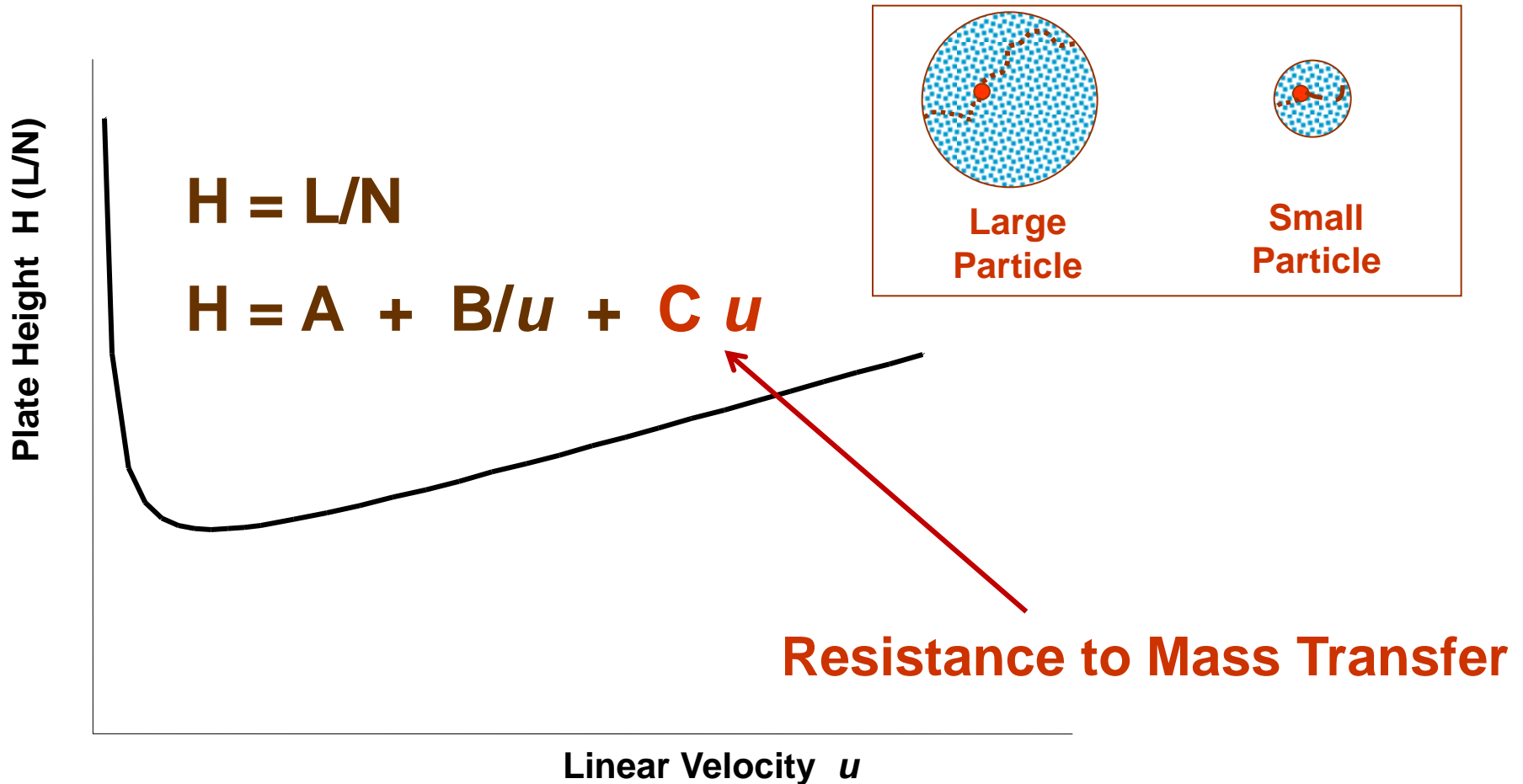
(1.8  $\mu\text{m}$  > 2.7  $\mu\text{m}$  > 3.5  $\mu\text{m}$  > 5  $\mu\text{m}$  > 10  $\mu\text{m}$ )

$$N \sim \frac{L}{d_p}$$

L = column length  
 $d_p$  = particle size

# Van Deemter Curve

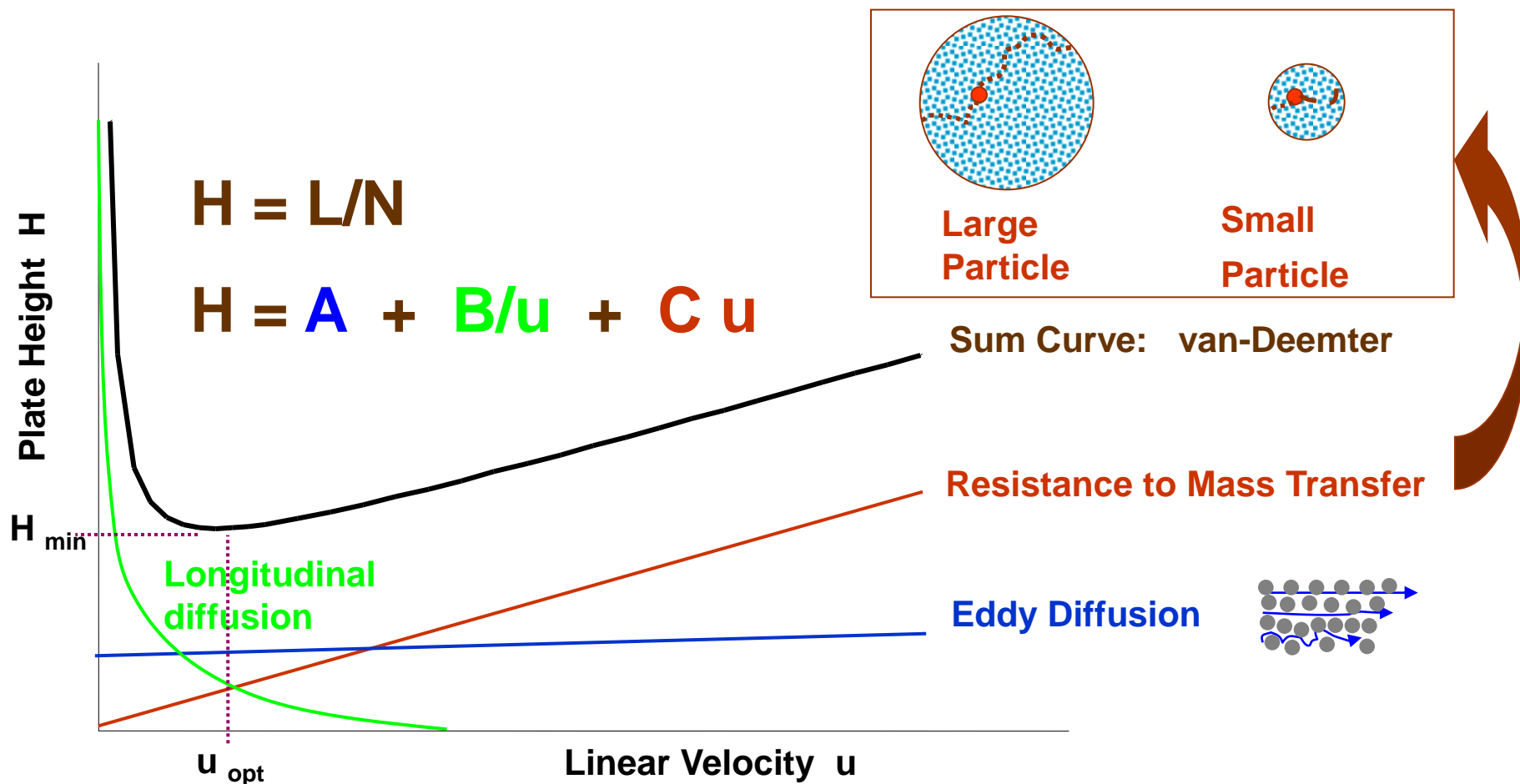
## Factors Affecting N



The smaller the plate height, the higher the plate number and the greater the chromatographic resolution

# Putting it Together

## The van Deemter Equation

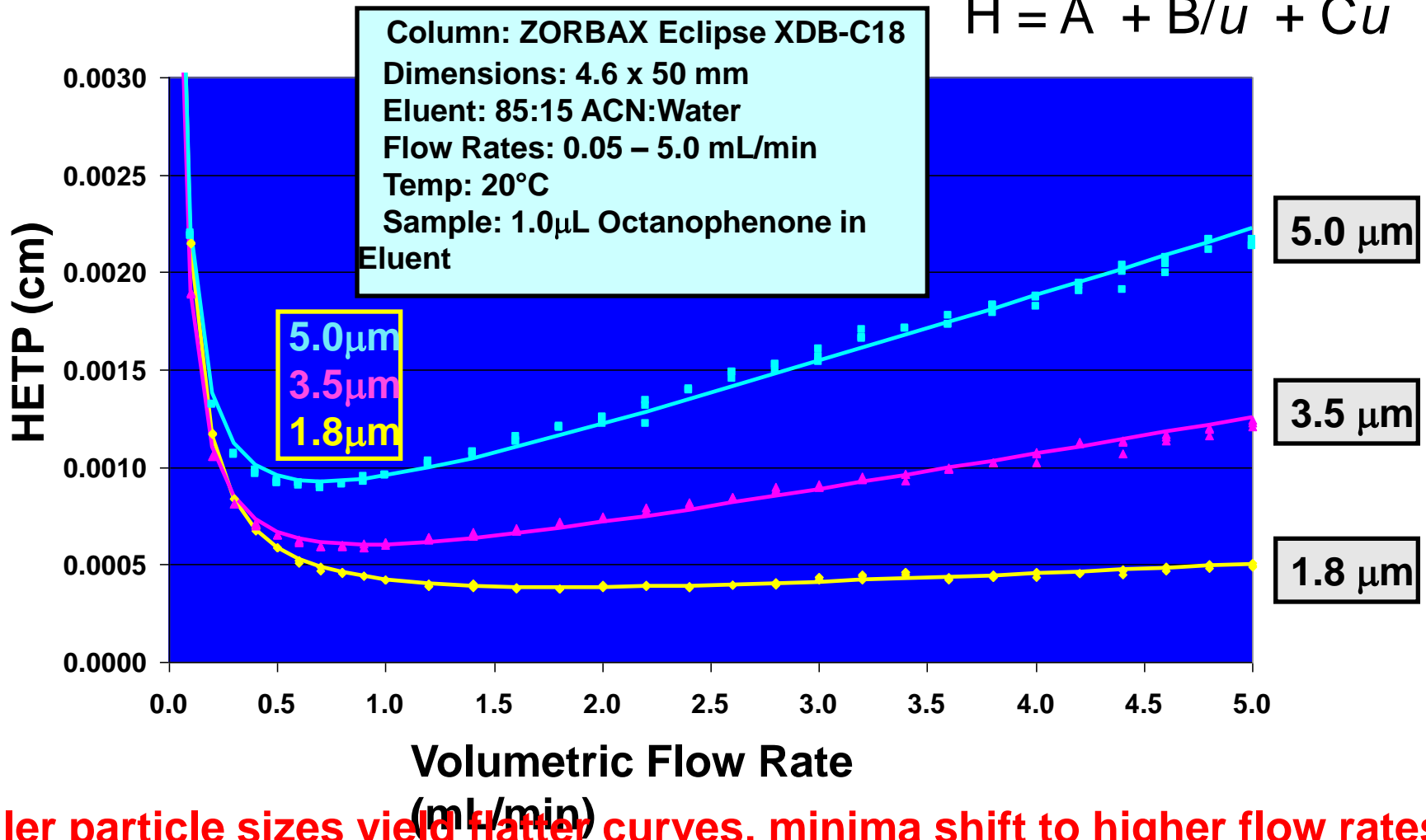


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# Van Deemter Curve

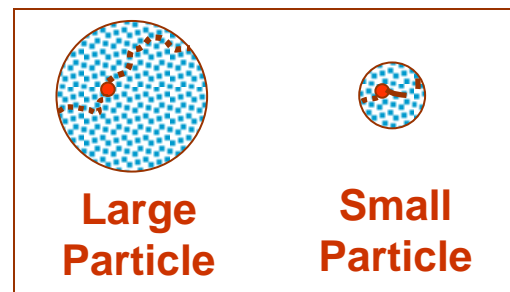
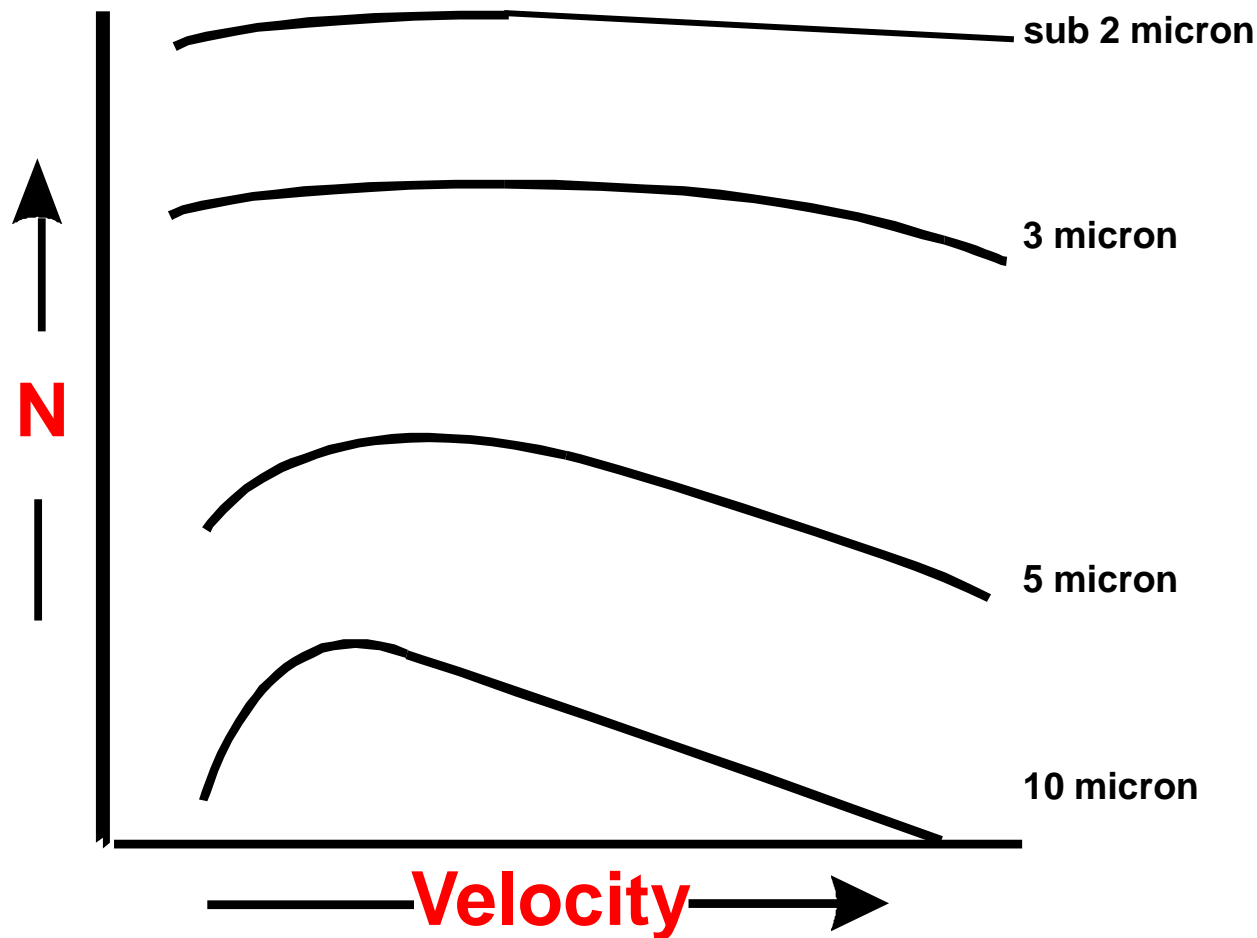
## Effect of Particle Size

$$H = A + B/u + Cu$$





# Columns Packed with Smaller Particles Provide Higher Efficiency



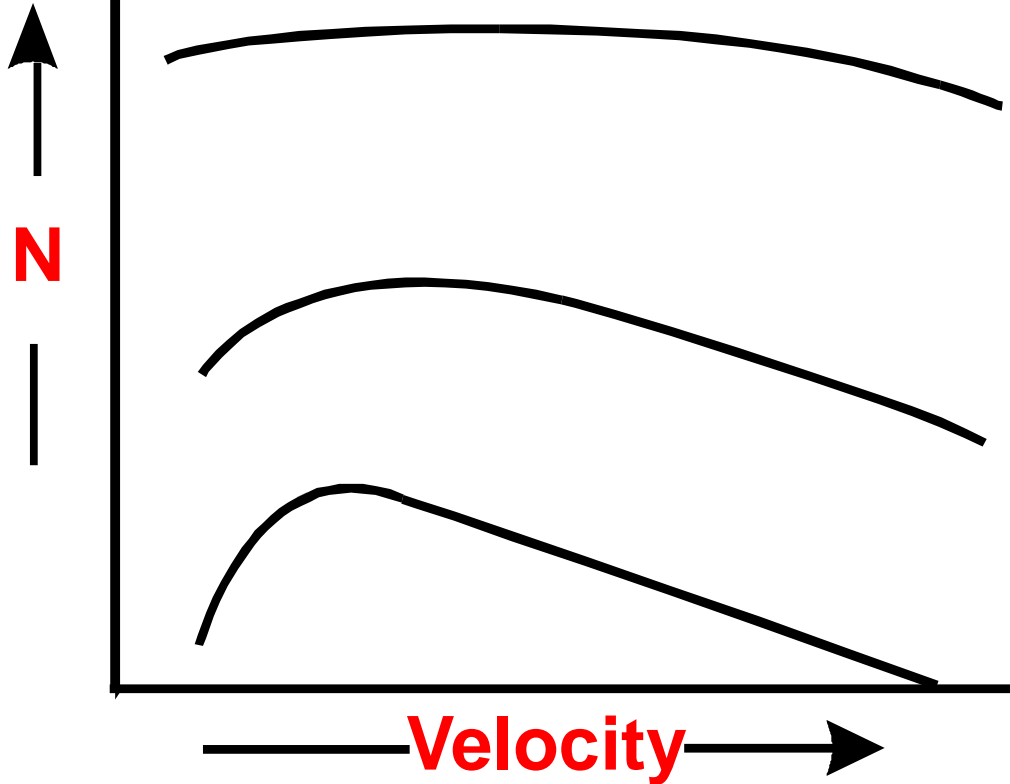
$$N \propto 1/(d_p)$$

$$P \propto 1/(d_p)^2$$

sub 2 micron

# Smaller Particles Provide Higher Efficiency, Less Sensitivity to Higher Flow Rate At Expense of Higher Pressure

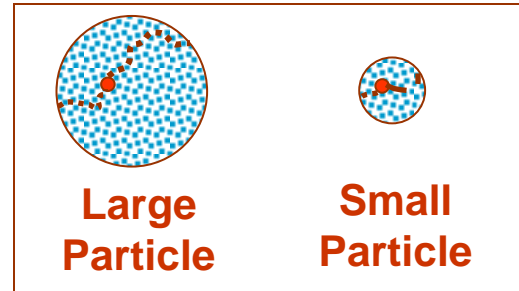
$$N = \frac{1}{A + B/u + C u}$$



3 micron

5 micron

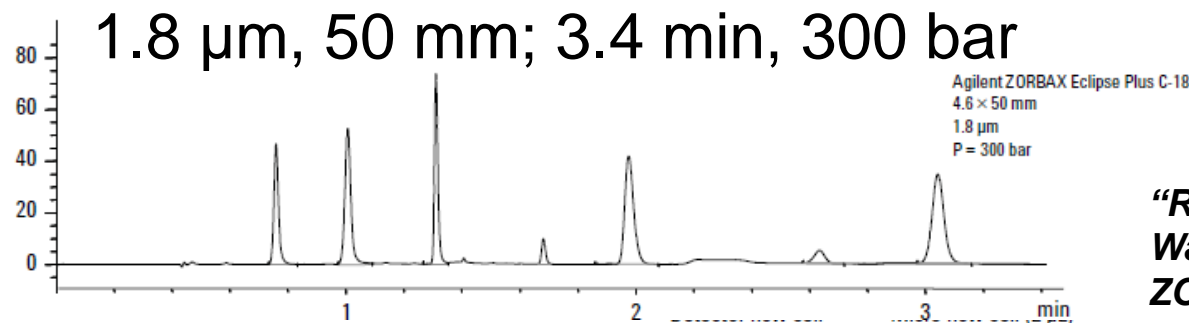
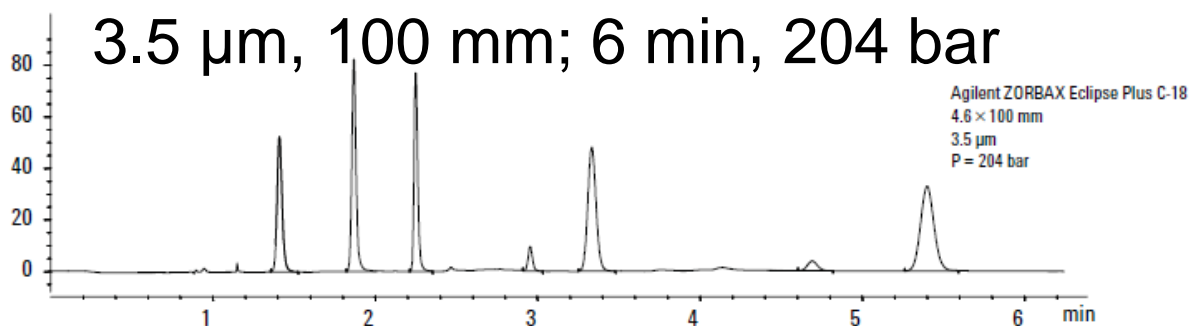
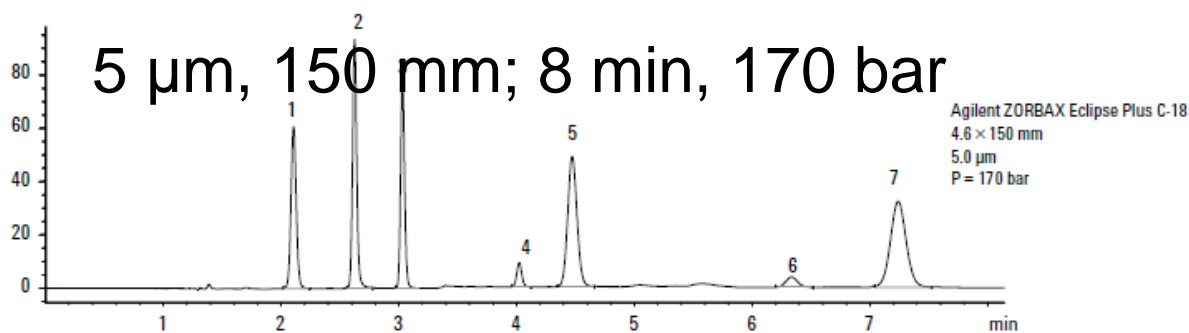
10 micron



$$N \propto 1/(d_p)$$

$$P \propto 1/(d_p)^2$$

# Decreasing Particle Size



**Table 2. Chromatographic Conditions**

LC	Agilent 1200 SL
Mobile phase A	25 mM NaH <sub>2</sub> PO <sub>4</sub> pH = 2.5
Mobile phase B	Methanol
Flow rate	1.00 mL/min
Column compartment temperature	35 °C
Detection	220 nm, no Reference
Response time	0.05 s
Injection volume	Adjusted for column size: 5 $\mu\text{m}$ , 5 $\mu\text{L}$ 3.5 $\mu\text{m}$ , 3.3 $\mu\text{L}$ 1.8 $\mu\text{m}$ , 1.7 $\mu\text{L}$
Detector flow cell	Micro flow cell (2 $\mu\text{L}$ )

**Table 3. Gradients for Equivalent k'**

%B	5 $\mu\text{m}$	3.5 $\mu\text{m}$	1.8 $\mu\text{m}$
1	0.00 min	0.00 min	0.00 min
12	1.50 min	1.00 min	0.50 min
30	1.53 min	1.03 min	0.51 min

**“Reversed-Phase HPLC Separation of Water-Soluble Vitamins on Agilent ZORBAX Eclipse Plus Columns”, 5989-9313EN (2008)**

# Chromatographic Profile

## Equations Describing Factors Controlling $R_s$

### Retention Factor

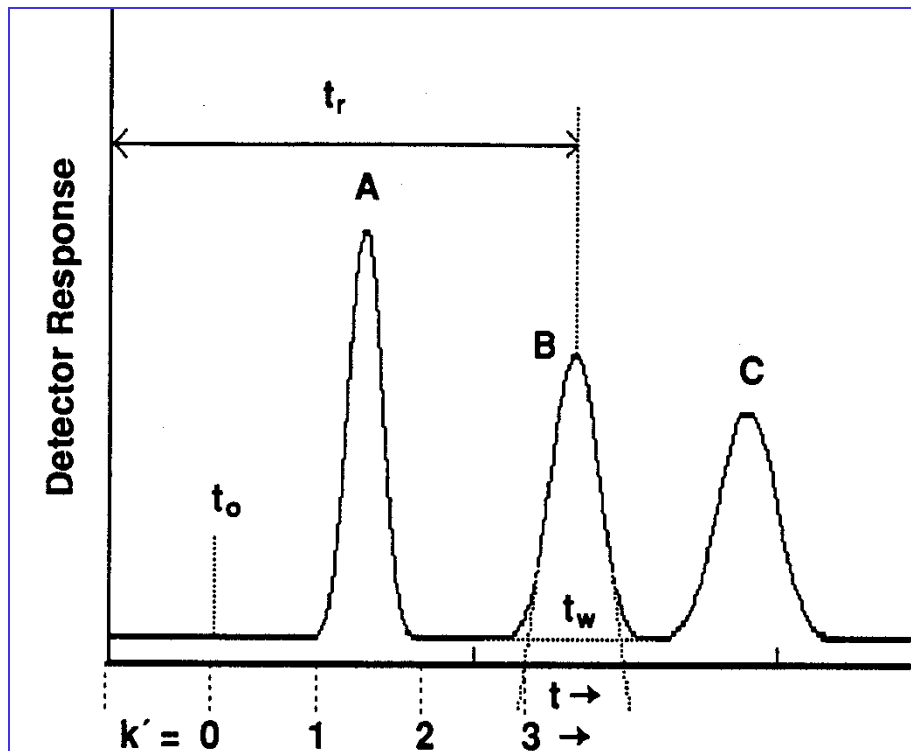
$$k = \frac{(t_R - t_0)}{t_0}$$

### Selectivity

$$\alpha = k_2 / k_1$$

### Theoretical Plates - Efficiency

$$N = 16(t_R / t_W)^2$$



# Chromatographic Profile

## Equations Describing Factors Controlling $R_s$

### Retention Factor

$$k = \frac{(t_R - t_0)}{t_0}$$

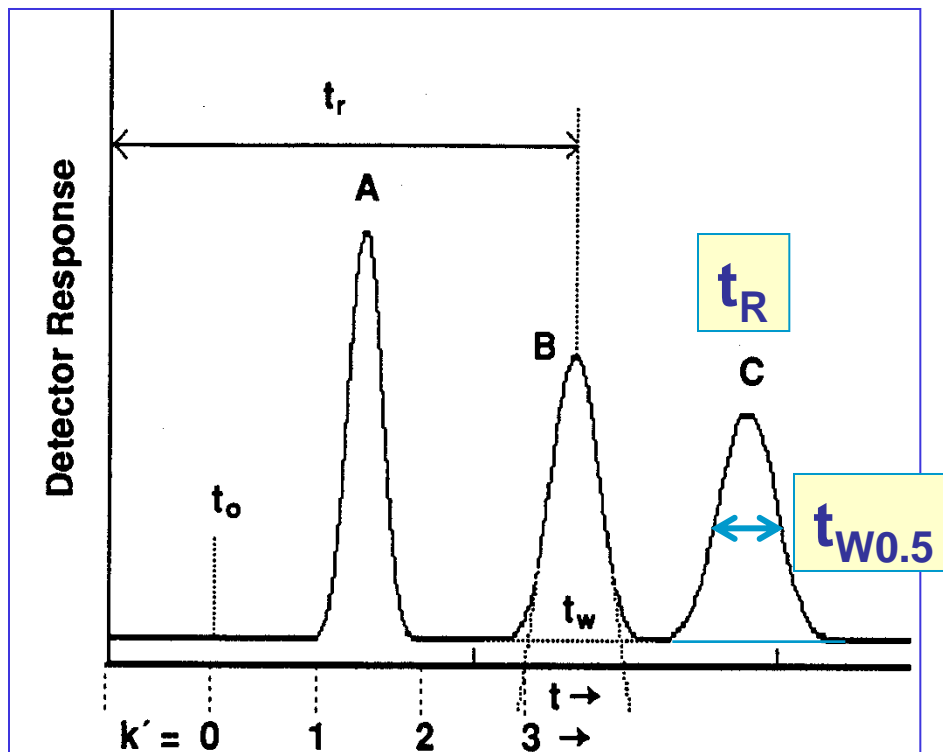
### Selectivity

$$\alpha = k_2 / k_1$$

### Theoretical Plates - Efficiency

$$N = 16(t_R / t_W)^2$$

$$N = 5.54(t_R / t_{W0.5})^2$$



# Chromatographic Profile

## Equations Describing Factors Controlling $R_s$

$$W = \frac{4}{(N^{0.5})} \times t_R$$

### Retention Factor

$$k = \frac{(t_R - t_0)}{t_0}$$

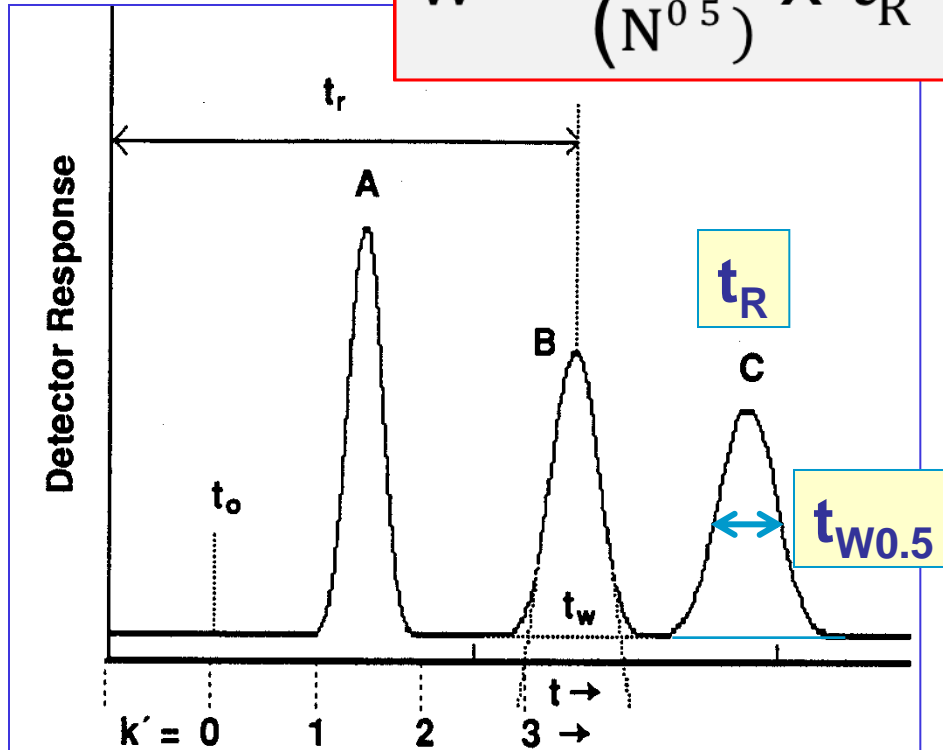
### Selectivity

$$\alpha = k_2 / k_1$$

### Theoretical Plates - Efficiency

$$N = 16(t_R / t_W)^2$$

$$N = 5.54(t_R / t_{W0.5})^2$$

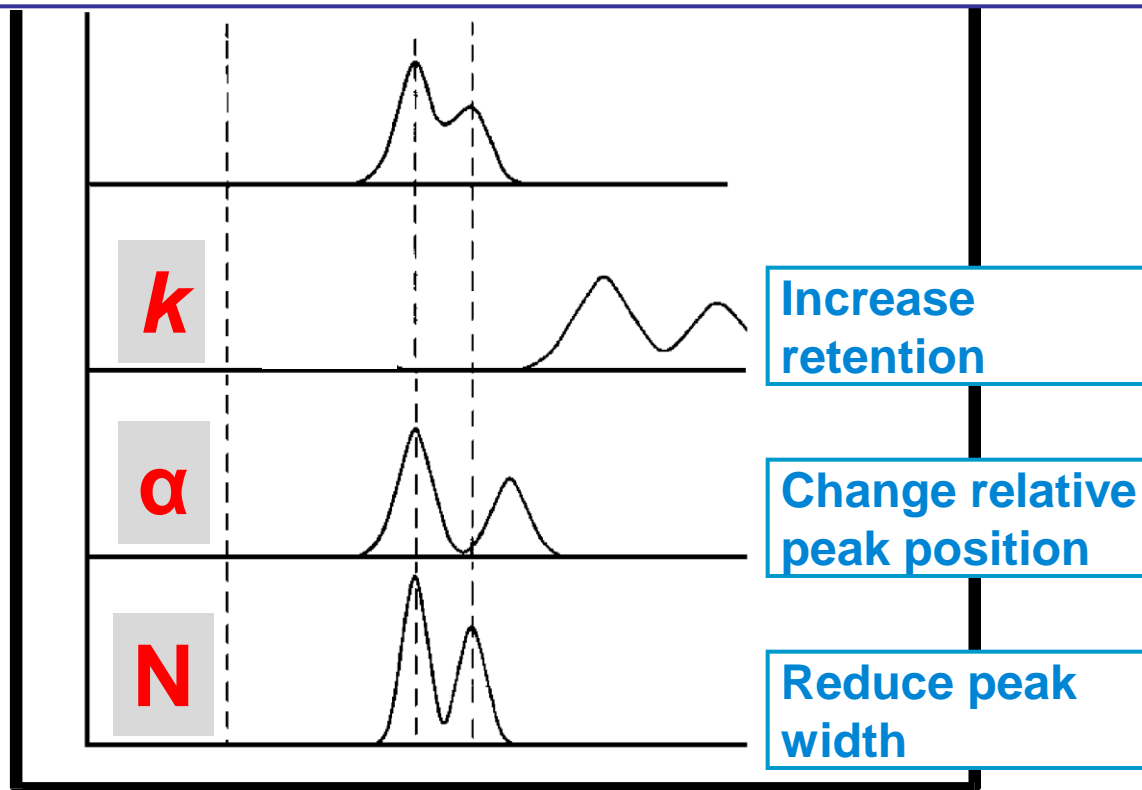


# Some Basic Chromatography Parameters

- Resolution ( $R_s$ )
- Retention Factor ( $k$ ), Capacity Factor ( $k'$ )
- Selectivity or Separation Factor ( $\alpha$ )
- Column Efficiency as Theoretical Plates ( $N$ )

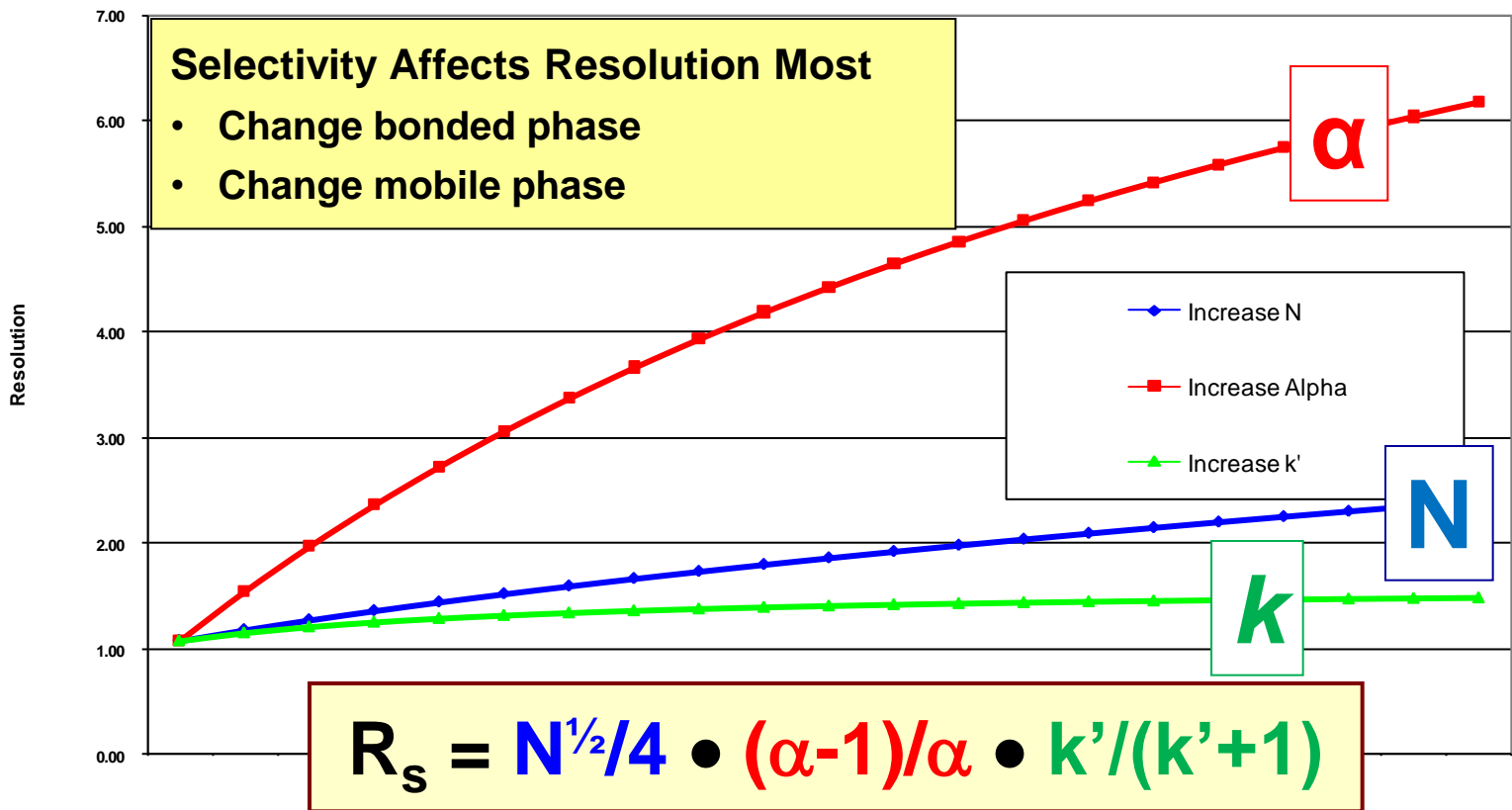
# Factors that Affect Resolution

$$R_s = \frac{\sqrt{N}}{4} \frac{(\alpha-1)}{\alpha} \frac{k}{(k+1)} = \frac{\Delta t_R}{\bar{W}}$$





# Resolution as a Function of Selectivity, Column Efficiency, or Retention



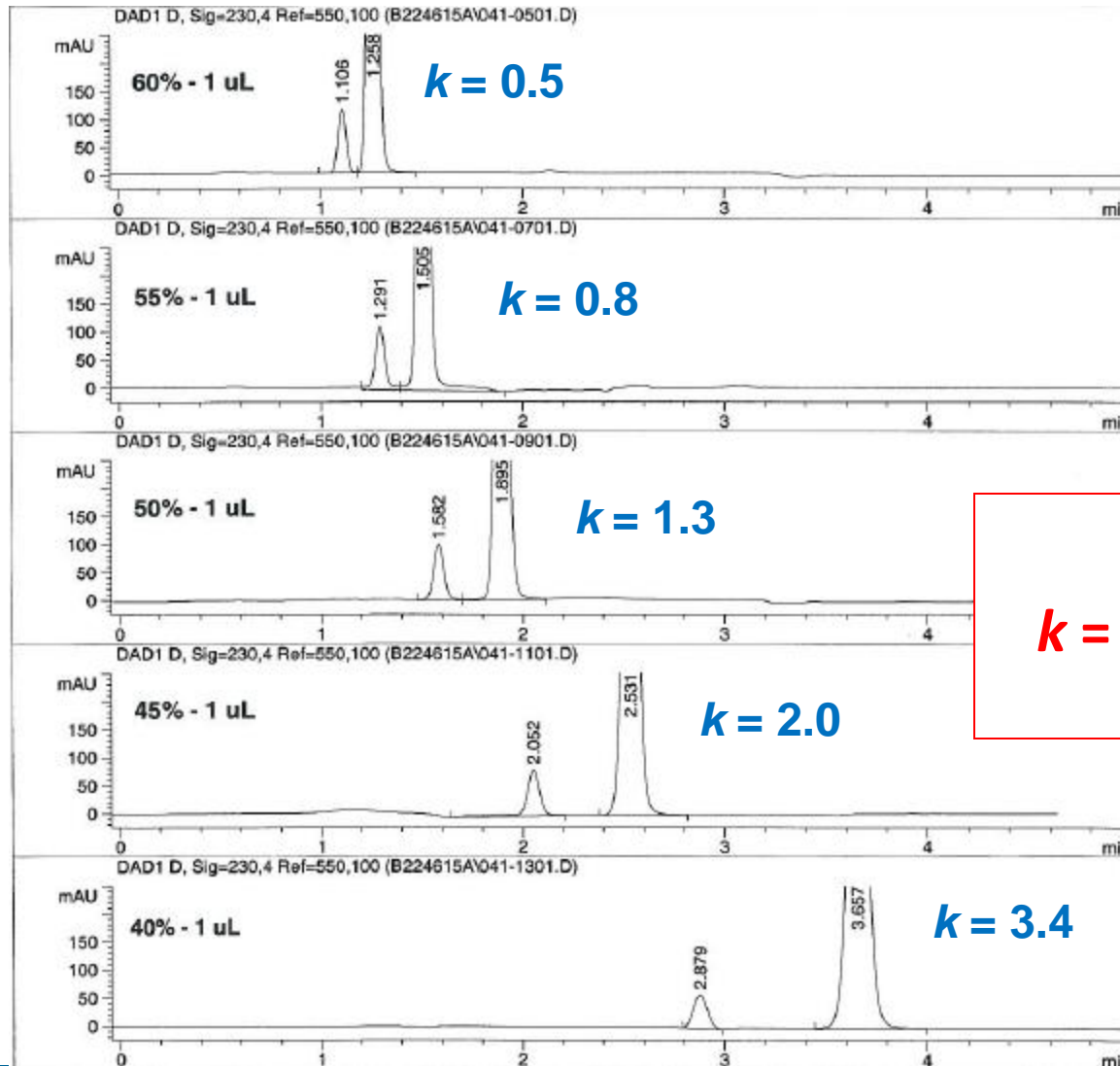
# Topics

- **What Affects Resolution,  $R_s$**
- **What Affects Retention,  $t_R$**
- **What Affects Pressure, P**

# Retention

- Mobile Phase Strength
- Temperature

# Effect of Mobile Strength on Retention



$$k = \frac{(t_R - t_0)}{t_0}$$

# Mobile Strength

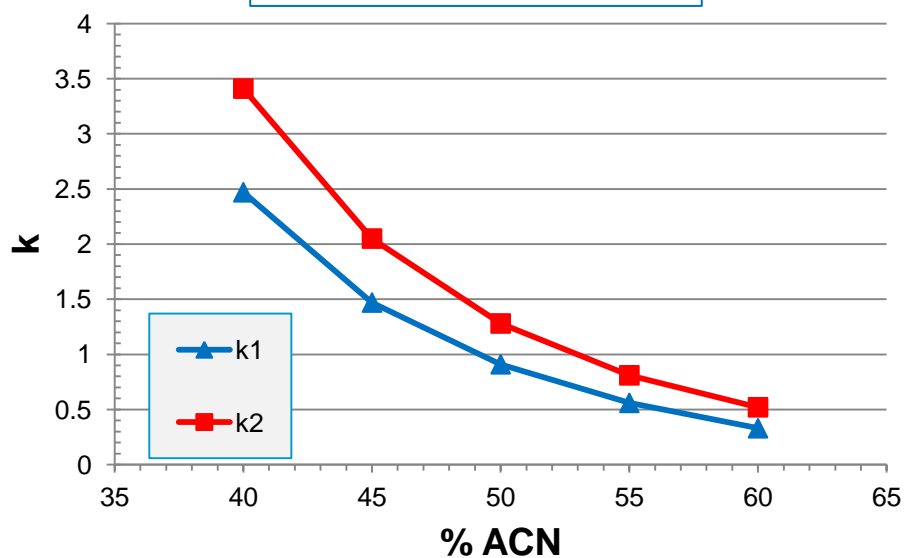
%ACN	t <sub>R-1</sub>	t <sub>R-2</sub>	k(1)	k(2)	ln(k1)	ln(k2)	Sel (a)
60	1.11	1.26	0.33	0.52	-1.10	-0.66	1.58
55	1.29	1.51	0.56	0.81	-0.59	-0.21	1.45
50	1.58	1.90	0.91	1.28	-0.10	0.25	1.41
45	2.05	2.53	1.47	2.05	0.39	0.72	1.39
40	2.68	3.66	2.47	3.41	0.90	1.23	1.38

$$k = \frac{(t_R - t_0)}{t_0}$$

# Mobile Strength

%ACN	tR-1	tR-2	k(1)	k(2)	ln(k1)	ln(k2)	Sel (a)
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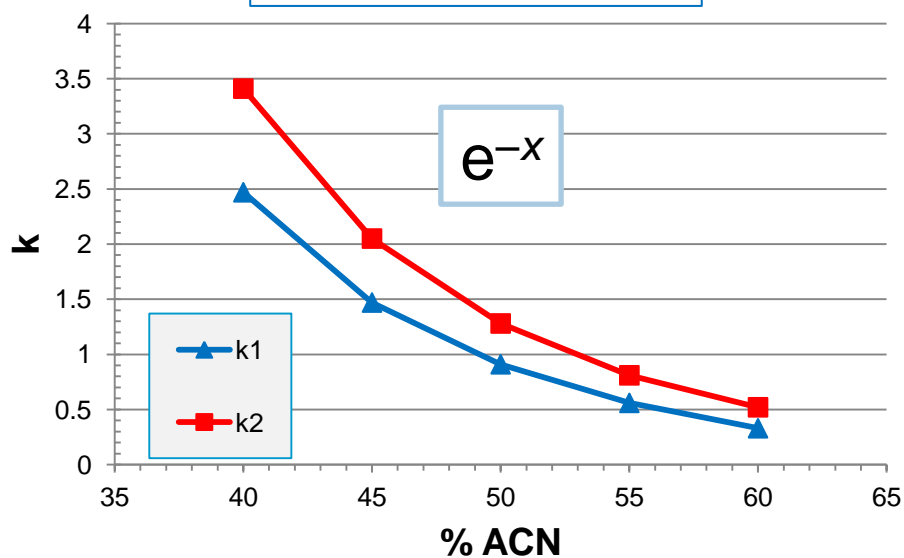
Effect of %B on k



# Mobile Strength

%ACN	tR-1	tR-2	k(1)	k(2)	ln(k1)	ln(k2)	Sel (a)
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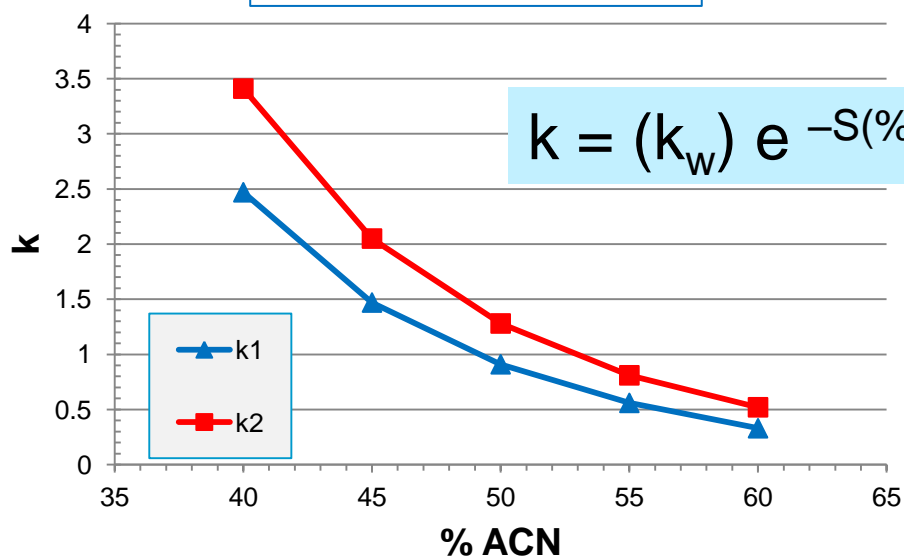
Effect of %B on k



# Mobile Strength

%ACN	tR-1	tR-2	k(1)	k(2)	ln(k1)	ln(k2)	Sel (a)
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Effect of %B on k

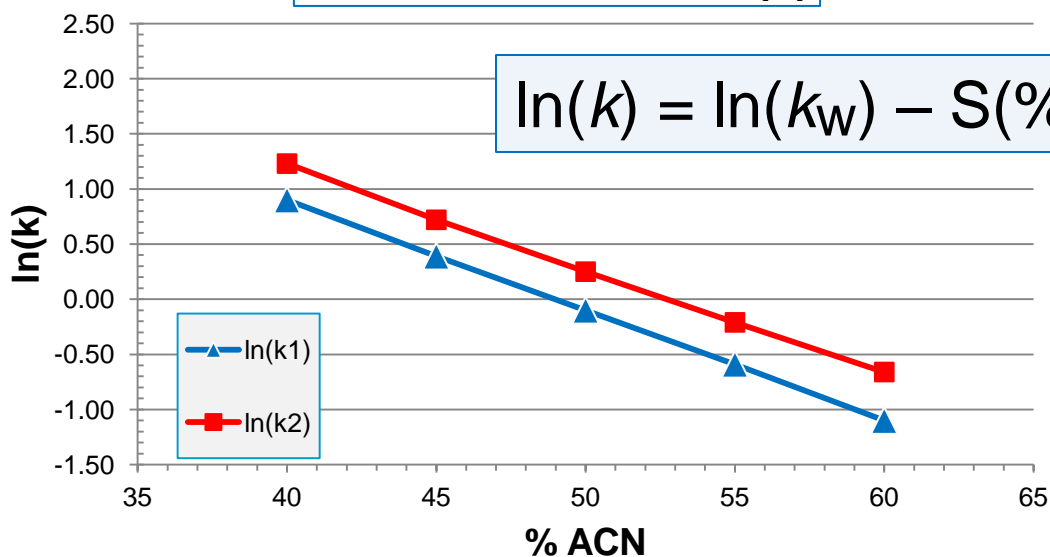




# Mobile Strength

%ACN	tR-1	tR-2	k(1)	k(2)	ln(k1)	ln(k2)	Sel (a)
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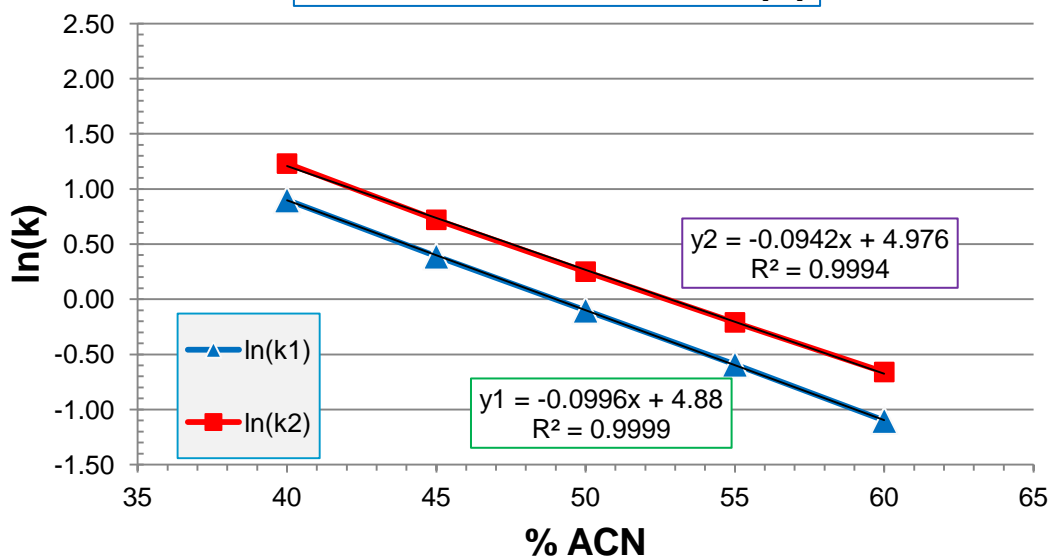
Effect of %B on ln(k)



# Mobile Strength

%ACN	tR-1	tR-2	k(1)	k(2)	ln(k1)	ln(k2)	Sel (a)
60	1.11	1.26	0.33	0.52	-1.10	-0.66	1.58
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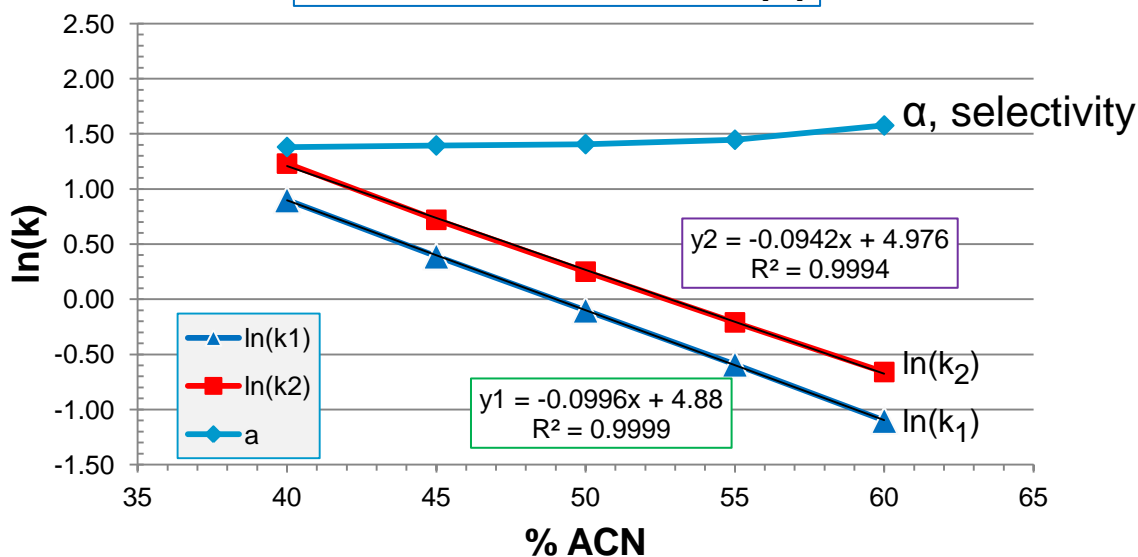
Effect of %B on ln(k)



# Mobile Strength

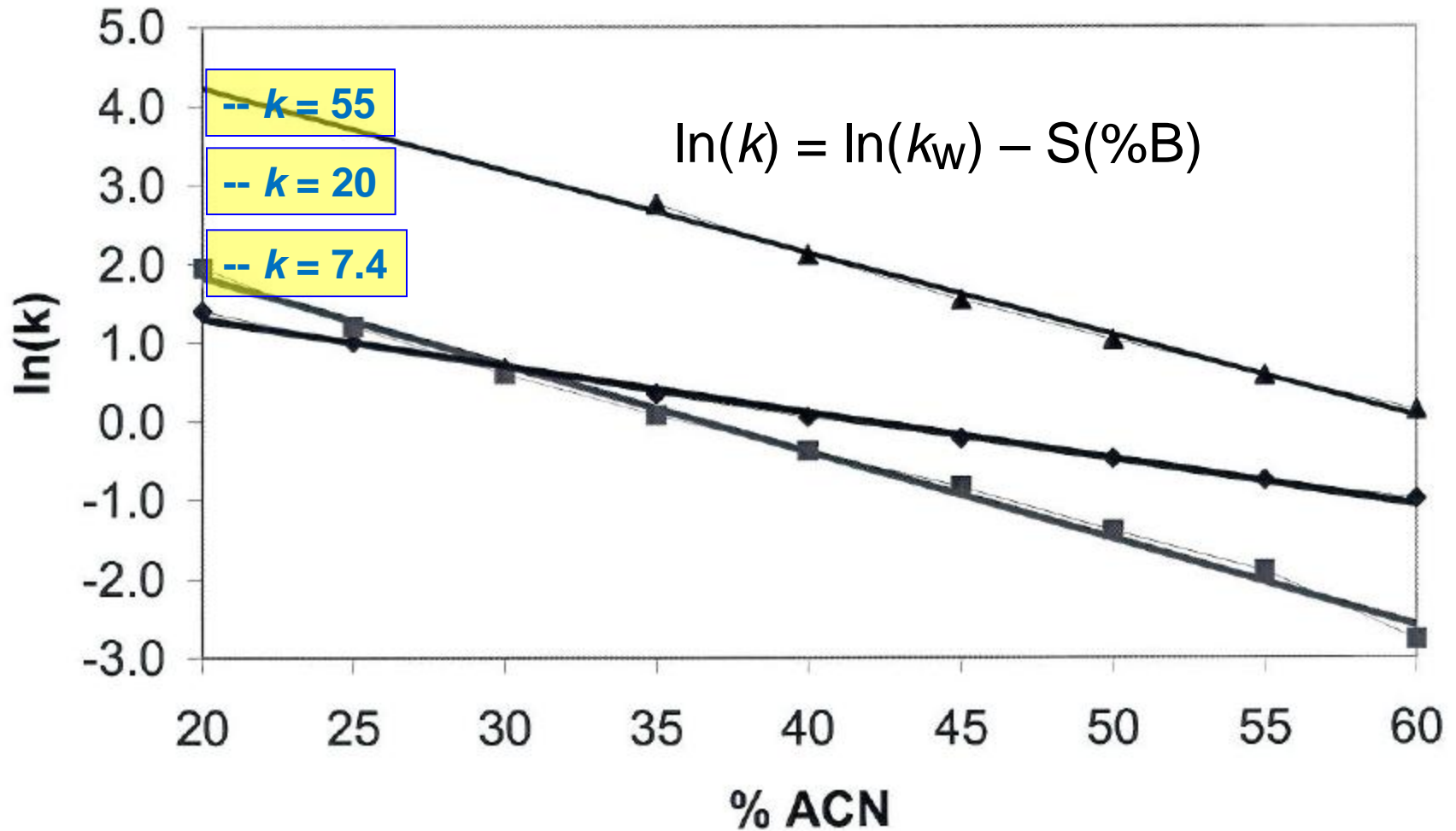
%ACN	tR-1	tR-2	k(1)	k(2)	ln(k1)	ln(k2)	Sel (a)
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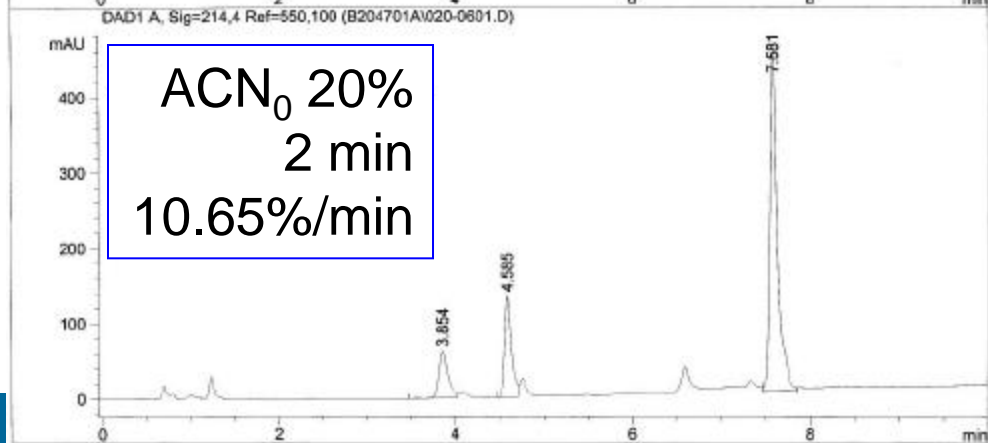
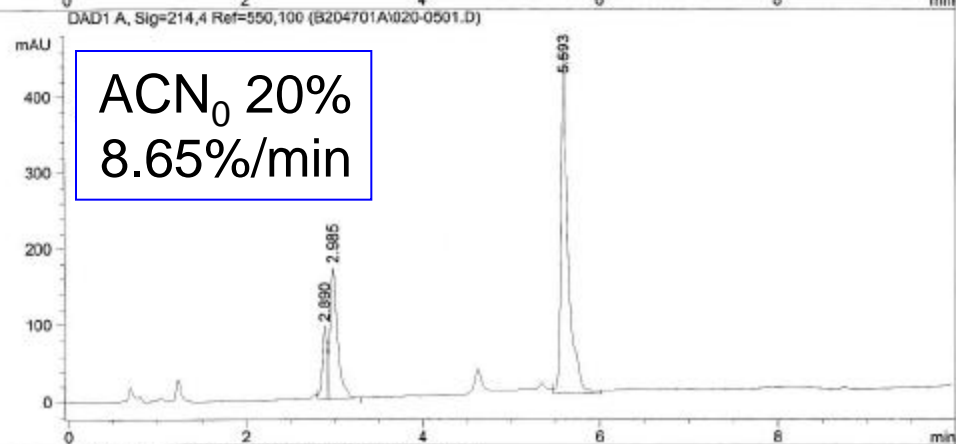
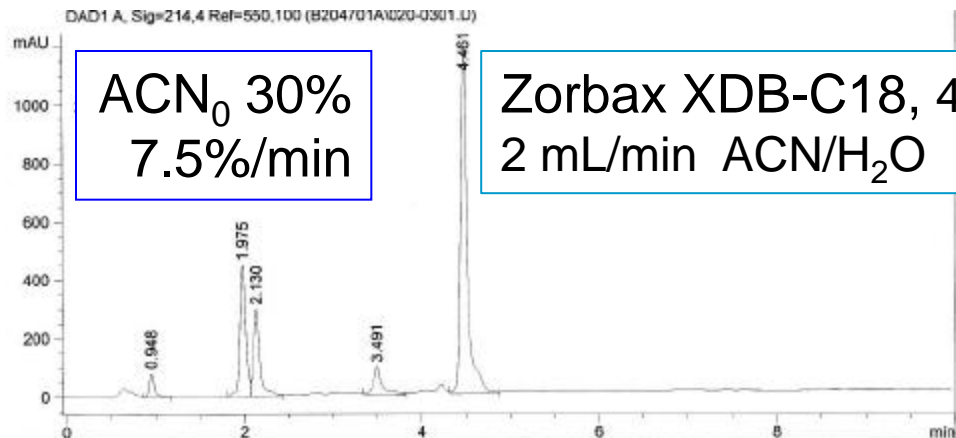
Effect of %B on ln(k)

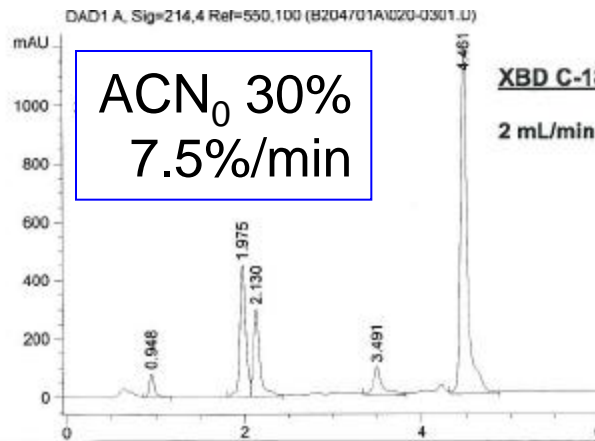


# Change in Order of Elution

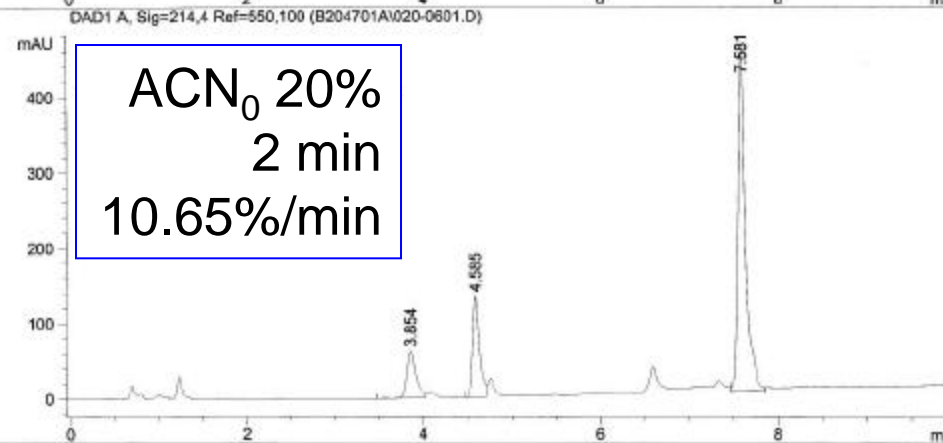
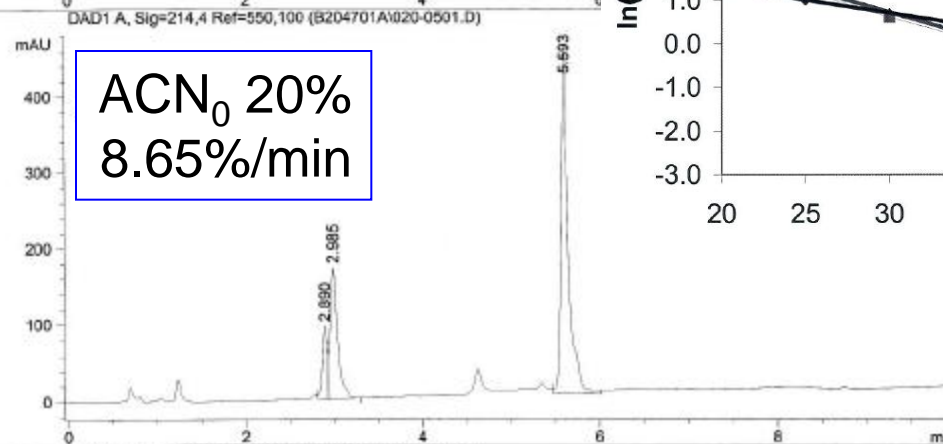
## Effect of % ACN on Ret'n



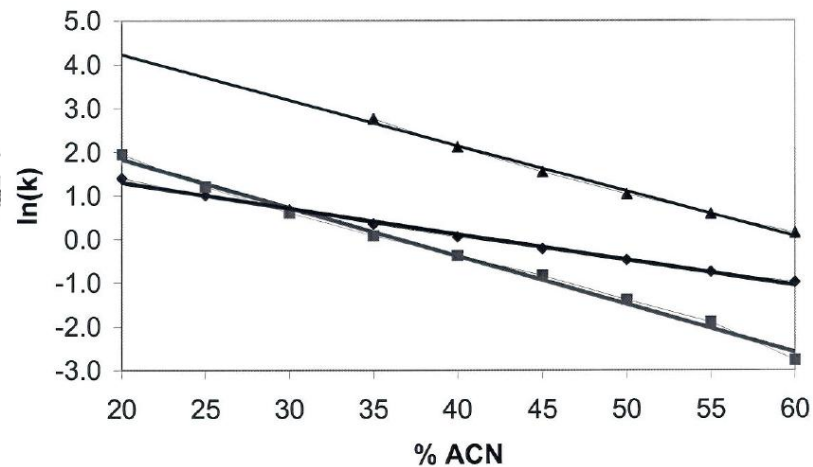




XBD C-18 ("JR") 4.6 x150 5  $\mu$ m  
2 mL/min ACN/HOH

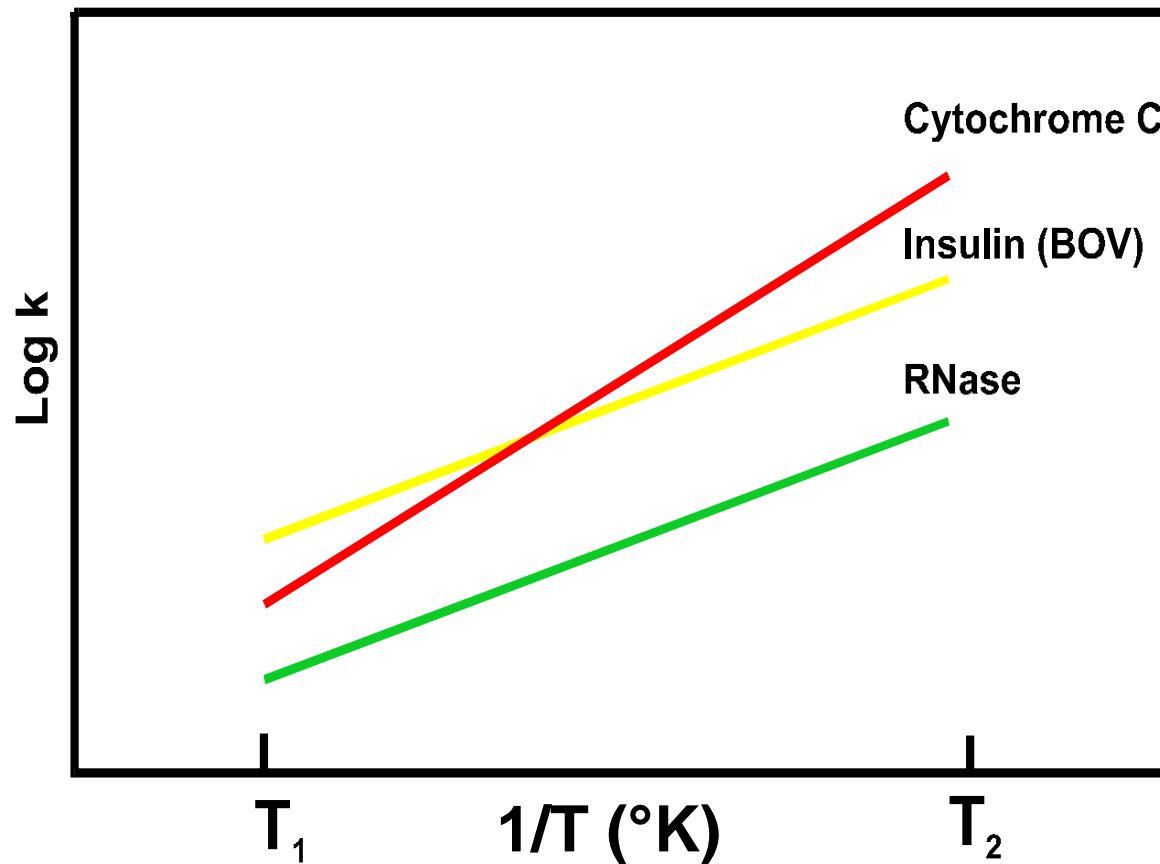


Effect of % ACN on Ret'n



# Effect of Temperature

- Reduces Analysis Time
- May Change Selectivity



# Topics

- **What Affects Resolution,  $R_s$**
- **What Affects Retention,  $t_R$**
- **What Affects Pressure,  $P$**



# What About Pressure?

## Pressure Increases with Decreasing Particle Size

### Equation For Pressure Drop Across an HPLC Column

$$\Delta P = \frac{\eta \cdot L \cdot v}{\theta \cdot d_p^2}$$

$\Delta P$  = Pressure Drop

$\eta$  = Fluid Viscosity

$L$  = **Column Length**

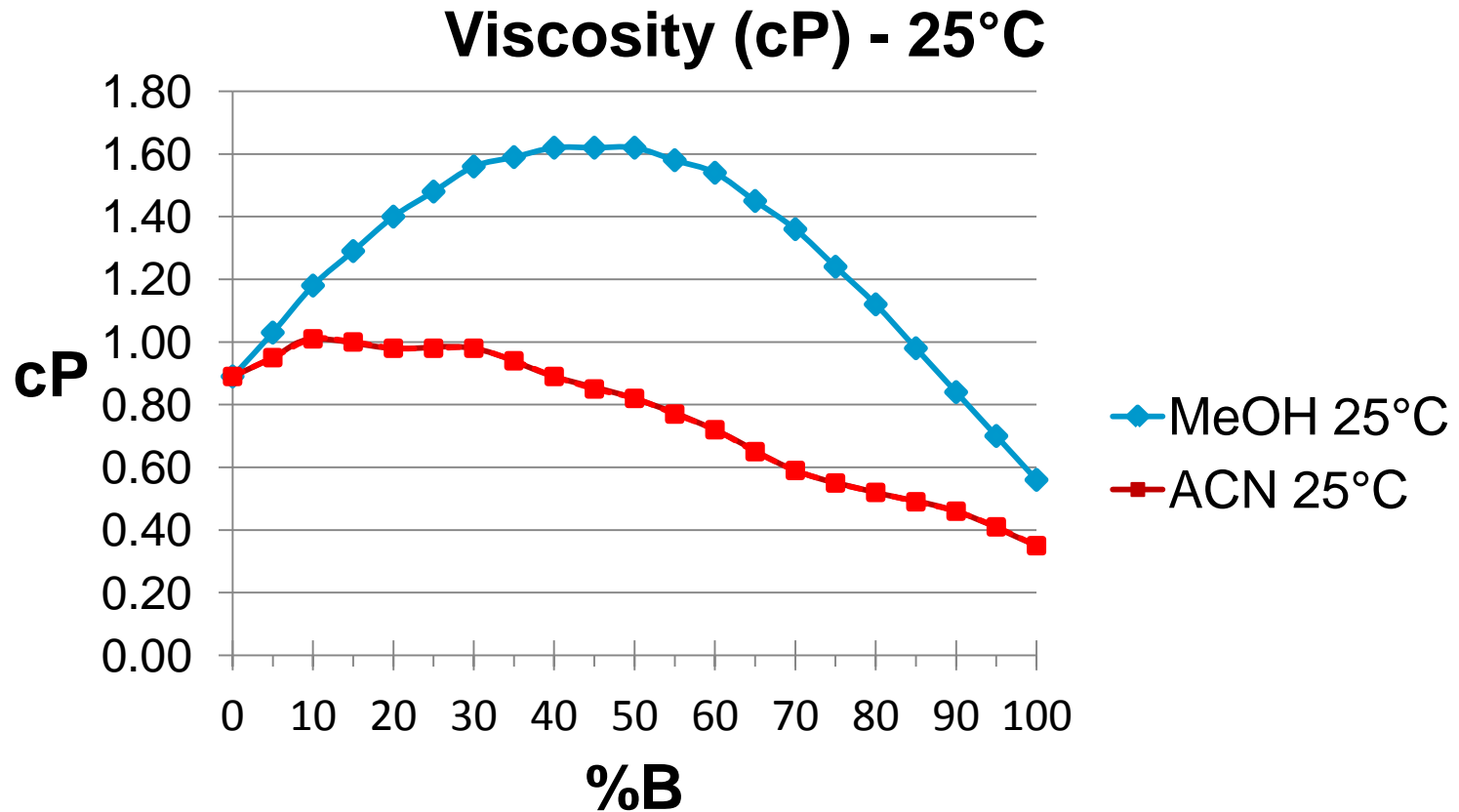
$v$  = Flow Velocity

$d_p$  = **Particle Diameter**

$\theta$  = Dimensionless Structural Constant of Order 600 For Packed Beds in LC

- ✓ Many parameters influence column pressure
- ✓ Particle size and column length are most critical
- ✓ Long length and smaller particle size mean more resolution and pressure
- ✓ We can now handle the pressure

# Comparison of Effect of Water/ACN and Water/MeOH on Viscosity



cf: Neue, *HPLC Column – Theory, Technology, and Practice*, Wiley (1997)

# Topics

- **What Affects Resolution,  $R_s$**
- **What Affects Retention,  $t_R$**
- **What Affects Pressure,  $P$**

# “UHPLC” vs HPLC

Effect of Increasing	N	$\alpha$	k	tR	P
Flow	Van Deemter	No	No	Decrease	Increase
% B	Slight	Varies	Exp Dec	Exp Dec	Varies
Temperature (T)	Increase	Varies	Decrease	Decrease	Decrease
Particle Size ( $d_p$ )	Decrease	No	No	No	Decrease
Col Length (L)	Increase	No	No	Increase	Increase
Col Diameter(d)	Slight	No	No	No*	No*

\* At constant linear velocity

Pressure does not cause improved separation.

Pressure is the result of the conditions that cause improved separation.

# Thank you – Questions?

**Bill Champion**  
**800-227-9770, opt 3, opt 3, opt 2**  
***lc-column-support@agilent.com***